Marking 10 years of the UN Global Compact 10th Principle against corruption:

10TH PRINCIPLE
ANNIVERSARY EVENT

10 December 2014
Wyndham New Yorker Hotel, New York, USA

Overview

In 2014, the UN Global Compact commemorates the 10th anniversary of the 10th Principle against Corruption: “Businesses should work against corruption in all its forms, including extortion and bribery”. The 10th Principle Anniversary event will provide a platform for over 200 business representatives and key stakeholders from Government, civil society, Global Compact Local Networks, the UN and others to share lessons learned in the last 10 years of business engagement in the fight against corruption. Participants will also explore effective ways to engage in collaboration and collective action that advance the global fight against corruption.

Programme Objectives

The key objectives of this event are to:

• Celebrate achievements in the fight against corruption over the past 10 years and reinforce the business case against corruption;

• Highlight emerging and innovative anti-corruption measures implemented by various stakeholders and explore different perspectives, including those from emerging markets;

• Explore recent examples of collective action initiatives to eliminate corruption;

• Share information and connect stakeholders working against corruption through the Anti-Corruption Information Fair; and

• Embed good governance and anti-corruption into the global development agenda and goals for the post-2015 era to ensure future development efforts are not undermined by corruption.
Speakers and Moderators

- Jorge Abrahão, President, Ethos Institute of Business and Social Responsibility
- Geeta Aiyer, President/Founder, Boston Common Asset Management
- Gareth Austin, Anti-Corruption Legal Assistance Manager, Eni S.p.A.
- Stephanie Button, Senior Manager, Anti-Bribery Policy and Standards, Lloyds Banking Group
- Robert Collymore, CEO, Safaricom
- William Danvers, Deputy Secretary-General, OECD
- Stephen Davis, Associate Director, Harvard Law School Programs on Corporate Governance and Institutional Investors; Senior Fellow, Brookings Institution
- Chinwe Esimai, Head, Global Anti-Bribery and Corruption, Citigroup Inc.
- Gretta Fenner, Managing Director, Basel Institute on Governance
- Tim Goodman, Associate Director, Corporate Engagement, Hermes Equity Ownership
- Christian Hauser, Professor of Business Economics and International Management, University of Applied Sciences HTW Chur
- W.C. Turner Herbert, Director, Anti-Bribery/Anti-Corruption Compliance, Bank of America
- Robin Hodess, Group Director for Advocacy and Research, Transparency International
- Stefan Hoffmann-Kuhnt, Head of Compliance Program, Monitoring and Reporting, SNC-Lavalin
- Ayotola Jagun, Chief Compliance Officer, Oando PLC
- Jay Jorgensen, Senior Vice President and Global Chief Compliance Officer, Walmart
- Georg Kell, Executive Director, UN Global Compact
- Huguette Labelle, Member of the Board, UN Global Compact
- Omobolanle Victor-Laniyan, Head Sustainability, Access Bank
- Adv. Thuli Madonsela, Public Protector, Republic of South Africa
- Olajobi Makinwa, Head Transparency & Anti-Corruption Initiatives, UN Global Compact
- Andrew Mason, Responsible Investment Analyst, Standard Life Investments Limited
- Pedro Montoya, Group Chief Compliance Officer, Airbus Group
- Hazem Moharram, General Manager, Medi-Needs
- Khine Khinwe Nwe, Joint Secretary General and Head of the CSR Unit, Republic of the Union of Myanmar Federation Chambers of Commerce and Industry (RUMFCCI)
- Peter Perfecto, Executive Director, Makati Business Club
- Foluso Phillips, Chairman of the Board, Nigerian Economic Summit Group
- Umberto de Pretto, Secretary General, International Road Transport Union (IRU)
- Enrique Rueda, Chief Compliance Officer & Head of Group Security, Nestlé S.A
- Katherine Elizabeth Ryder, Senior Counsel, Statoil
- Dov Seidman, CEO, LRN
- Joe Smith, Senior Legal Counsel, Barclays Bank PLC
- Jorge Hage Sobrinho, Head, Office of the Comptroller General of Brazil
- John Sullivan, Executive Director, Center for International Private Enterprise
- Justine Walker, Director, Financial Crime (Sanctions and Bribery), British Bankers Association
- Jock Mendoza-Wilson, Director of International and Investor Relations, System Capital Management
- Tayfun Zaman, Secretary-General, TEID
- Sabine Zindera, Vice President Legal and Compliance, Siemens AG
- Sharon Zealey, Chief Compliance Officer, The Coca-Cola Group
**Agenda**

Keith Darcy, Senior Advisor, Deloitte & Touche LLP (Master of Ceremonies)

8:00 a.m. — 9:00 a.m.  
**Arrival & Registration**

9:00 a.m. — 10:00 a.m.  
**Breakfast: Anti-Corruption Collective Action**

*Co-hosted by the UN Global Compact, Principles for Responsible Management Education (PRME) and Siemens Integrity Initiative – Collective Action Projects of the UN Global Compact*

The breakfast will provide an opportunity for participants to see collective action in practice. UN Global Compact anti-corruption collective action projects in five emerging markets — Brazil, Egypt, India, Nigeria and South Africa — and a project led by PRME will be showcased. The interactive breakfast will highlight activities and achievements as well as challenges of engaging in collective action on the ground. Participants will be able to engage with various project teams, ask questions and understand practical nuances of collective action.

- **Olajobi Makinwa**, Head Transparency & Anti-Corruption Initiatives, UN Global Compact (moderator)
- **Sabine Zindera**, Vice President Legal and Compliance, Siemens AG
- **Foluso Phillips**, Chairman of the Board, Nigerian Economic Summit Group
- **Jorge Abrahão**, President, Ethos Institute of Business and Social Responsibility
- **Christian Hauser**, Professor of Business Economics and International Management, University of Applied Sciences HTW Chur

10:00 a.m. — 12:00 p.m.  
**Welcome and Opening Remarks: 10 years of the 10th Principle**

*The welcome will provide a brief overview of the history of the 10th Principle and underscore the challenges, opportunities, successes and obstacles since its adoption. Following an opening video presenting progress made implementing the 10th Principle and outlining the road ahead, speakers will discuss the role of governments and the private sector in the fight against corruption.*

**Opening remarks and video on 10 years of the 10th Principle**

- **Georg Kell**, Executive Director, UN Global Compact
Dov Seidman, CEO, LRN

Keynote: The role of Governments in incentivizing anti-corruption
- Adv. Thuli Madonsela, Public Protector, Republic of South Africa

Opening Plenary: Anti-Corruption Implementation - current trends and future opportunities

The Opening Plenary will provide a multi-stakeholder perspective on achievements in the fight against corruption. Through sharing their practical experiences implementing anti-corruption policies, stakeholders from various sectors will underscore effective anti-corruption measures and emerging, innovative tools to fight corruption. Speakers will highlight how the 10th Principle has motivated them to scale up efforts to incorporate anti-corruption policies in their businesses and operations. The panel discussion will be followed by a question and answer session.

- Ayotola Jagun, Chief Compliance Officer, Oando PLC (moderator)
- Robert Collymore, CEO, Safaricom
- Huguette Labelle, Member of the Board, UN Global Compact
- William Danvers, Deputy Secretary-General, OECD
- Jorge Hage Sobrinho, Head, Office of the Comptroller General of Brazil

Speakers will discuss:
- Changing trends in international and national legal frameworks and enforcement
- Changing anti-corruption culture, policies and procedures in the private sector over the past 10 years and the way forward, particularly in emerging markets
- The role of the G20 in shaping a global response to corruption
- Engagement of civil society in the fight against corruption and the role of the Board of the UN Global Compact in the future development of the 10th Principle

12:00 p.m. – 2.00 p.m. Lunch & Anti-Corruption Information Fair

To provide a space for participants to share information and interact with one another, lunch will be held alongside the Anti-Corruption Information Fair. Select organizations will showcase their work in the anti-corruption area and participants will have the opportunity to meet with these organizations and learn more about their current efforts.
Roundtable Discussions

In one of four break-out groups, participants will engage in moderator-led discussions that will focus on a range of current topics in the anti-corruption area. Key outcomes from each of the break-out sessions will be presented at the closing plenary.

Session A: Anti-corruption collective action in practice

Collective Action has increasingly become one important way for companies to engage with competitors, government, civil society and other key stakeholders and deal with the risks of corruption as well as level the playing field. This session will explore through various examples how collective action is being implemented and what challenges still remain for scaling it up. The session will also discuss various tools to help companies engage in Collective Action initiatives, such as the B20 Collective Action Hub, and highlight the role of Governments in incentivizing anti-corruption collective action.

- **Gretta Fenner**, Managing Director, Basel Institute on Governance (moderator)
- **Olajobi Makinwa**, Head Transparency & Anti-Corruption Initiatives, UN Global Compact (moderator)
- **Pedro Montoya**, Group Chief Compliance Officer, Airbus Group
- **Peter Perfecto**, Executive Director, Makati Business Club
- **Umberto de Pretto**, Secretary General, International Road Transport Union (IRU)
- **John Sullivan**, Executive Director, Center for International Private Enterprise
- **Tayfun Zaman**, Secretary-General, TEID

Session B: Investor-company engagement on anti-corruption

In this session, organized in collaboration with the Principles for Responsible Investment (PRI), participants will discuss the critical role of investors in anti-corruption. Participants will explore the what, why, how and “state of play” of investor-company engagement on anti-corruption issues as well as current challenges and opportunities in this area.

- **Stephen Davis**, Associate Director, Harvard Law School Programs on Corporate Governance and Institutional Investors; Senior Fellow, Brookings Institution (moderator)
Session C: Promoting integrity in the banking and financial services sector and the role of banks in incentivizing corporate integrity

This session will explore how banks can rebuild trust lost during recent financial scandals. Participants will discuss the unique challenges faced by the banking sector in implementing anti-corruption policies and programmes, and explore the value of engaging in collective action against corruption. The session will also discuss the unique role and responsibility of banks in eliminating corruption in the private sector through their financing role.

- Justine Walker, Director, Financial Crime (Sanctions and Bribery), British Bankers Association (moderator)
- Stephanie Button, Senior Manager, Anti-Bribery Policy and Standards, Lloyds Banking Group
- Chinwe Esimai, Head, Global Anti-Bribery and Corruption, Citigroup Inc.
- Joe Smith, Senior Legal Counsel, Barclays Bank PLC
- W.C. Turner Herbert, Director, Anti-Bribery/Anti-Corruption Compliance, Bank of America
- Robin Hodess, Group Director for Advocacy and Research, Transparency International

Session D: SMEs in emerging markets – Moving towards harmonization of anti-corruption standards

SMEs have been contributing tremendously to economic growth and poverty alleviation in both developed and developing economies. This session will explore how SMEs can overcome challenges related to having limited capacity as they are faced with more and more anti-corruption requirements and standards. It will also explore harmonization of anti-corruption and compliance standards for SMEs as a potential solution.

- Jock Mendoza-Wilson, Director of International and Investor Relations, System Capital Management (moderator)
4:15 p.m. – 5:30 p.m.

Closing Plenary: The Role of Anti-Corruption in the Global Development Agenda

Rapporteurs from each break-out group will present key outcomes and recommendations. Current signatories to the Call to Action: Anti-Corruption and the Global Development Agenda will be acknowledged and all participants will be invited to sign the Call to Action as a way to recommit to the 10th Principle and the anti-corruption agenda. The keynote speaker will underscore the cross-sectorial impact of corruption on health, education, women’s empowerment, and economic growth and highlight the fundamental role that both business and governments can play through improved anti-corruption standards for the realization of more sustainable and inclusive societies.

Speakers will present:

❖ Outcomes from the Roundtable Discussions

Representatives of each breakout session highlight major outcomes from discussions and discuss how this relates to the future of the UN Global Compact’s 10th Principle against Corruption

❖ Call to Action: Anti-Corruption and the Global Development Agenda

Special remarks:

Keynote: The critical role of business and governments in embedding transparency and anti-corruption in the global development agenda

5:30 p.m. – 7:15 p.m. Networking Reception

The Networking Reception will provide an opportunity to recognize the leadership and dedication of anti-corruption champions that have contributed immensely to the journey of the 10th Principle

- Peter Eigen, Founder, Transparency International
- Huguette Labelle, Member of the Board, UN Global Compact