

Mapping International Standards on Business Engagement with Indigenous Peoples

**Compiled by UN Global Compact LEAD Taskforce on Business Engagement with
Indigenous Peoples with support from White & Case***

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*All the information contained in this chart was obtained from publicly available sources. To the extent an entity cited in this chart has not made a relevant standard, policy, guideline or similar publication concerning indigenous peoples (a "standard") readily accessible to the public, such non-public standard may not be reflected herein. Furthermore, the purpose of this chart is to reflect certain material standards of the entities cited herein, and does not purport to encompass the totality of such entities' standards and/or position concerning engagement with indigenous peoples.

Background

In early 2012 a group of Global Compact LEAD companies launched a *Task Force on Business Engagement with Indigenous Peoples* – a joint effort to improve how businesses can work with indigenous peoples in a way that respects and supports their rights. Founding members¹ of the Task Force defined the objectives of the group as: 1) to better understand existing international standards, norms and mechanisms with regard to business engagement with indigenous peoples; 2) to engage in dialogue and share existing good practice policies, processes, and programmes with business sector peers; and 3) to develop good practice guidance for businesses to operate in accordance with international standards in this area.

About the mapping

As the first step to achieving these objectives, the Task Force, in conjunction with the Global Compact Office and White & Case LLP, is spearheading an effort to map and analyze existing standards, initiatives, policies, codes and similar guidelines (collectively “standards”) relating to business engagement with indigenous peoples. In particular, the Task Force reviewed an aggregate of approximately 115 standards adopted by (a) the United Nations (the “UN”); (b) national governments; (c) international and multilateral organizations; (d) civil society (e.g., non-profit organizations and trade associations); and (e) the private sector. In reviewing each standard, the Task Force used a series of questions, which included, but was not limited to, the following:

- (i) if and how each standard defines “indigenous peoples”;
- (ii) if and how indigenous peoples were involved in the development of the standard
- (iii) whether the standard is applicable to a certain geographic area and/or specific indigenous populations;
- (iv) whether the standard is exclusively crafted for indigenous peoples or is part of a standard unrelated to indigenous peoples (e.g., “human rights” or “corporate social responsibility” policies);
- (v) whether the standard is industry-specific;
- (vi) (where relevant to the standards) whether the concept of “free, prior and informed consent” is referenced and, if not, what level of consultation with indigenous communities is required;
- (vii) whether the standard promotes sustainable environmental practices and/or gender equality;

¹ Founding business members of the Taskforce include Sakhalin Energy, Minmetals (Australia), Endesa, KPMG Australia, Newmont Mining, Repsol, Talisman Energy, Teck Resources, Vale, and Westpac Group. The Taskforce is currently setting up an advisory body of indigenous leaders to guide the group.

- (viii) how the standard promotes contribution to the development of indigenous communities (e.g., education, employment, equity participation in projects, etc.);
- (ix) in the case of the private sector, whether a focus on indigenous peoples is part of such companies' core business model and initiative;
- (x) (where relevant to the standard) whether impact assessments are mandated by the standard; and
- (xi) whether the standard promotes grievance mechanisms and/or monitoring mechanisms.

The answers to the aforementioned questions were inputted into a user-friendly chart (attached hereto as Exhibit 1). The Task Force is currently analyzing the attached chart with the goal of publishing a report at the end of 2012 that will (a) identify current trends and commonalities between existing standards; (b) identify the strengths and weaknesses of existing standards; and (c) include suggestions on how to advance responsible business engagement with indigenous peoples.

The Task Force's review of the attached chart and related materials is still ongoing. Additional standards may be added. As the Task Force's findings will be used to promote better quality cooperation between the private sector and indigenous peoples, the Task Force welcomes additional input from interested parties and encourages all readers to review the attached chart. Feedback and input should be sent to Michelle Lau at laum@un.org and Todd Wolynski (todd.wolynski@whitecase.com).

Source Name	Source Author	Author's Jurisdiction	Link to Source	Source Group	Type of Source	Scope	Geographic Region	Indigenous Group	Indigenous People Defined?		Policy Aimed Exclusively towards Indigenous Issues?	Industry Specific?	FPIC referenced?		Promotes Sustainable Environmental Practices?	Promotes Women's Participation/Gender Equality?	Contribution to Local Development					Impact Assessment?	Community Engagement			Monitoring			Comments		
									Y/N	Derived from external source?			Y/N	If not, level of consult?			Education	Employment	Equity Participation	Contribution Independent of Transactions?	Other		Involved with Developing Standard?	Early Stage Engagement	Grievance Mechanism	Indigenous Participation in Core Business Model	Ongoing?	By Independent 3rd Party?		By Internal Party?	
N/A	Archer Daniel Midlands	US	No IP policy	Corporation	N/A	Corporate	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
N/A	Aviva	US	No IP policy	Corporation	N/A	Corporate	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
BASF Group's Position on Human Rights	BASF Chemical Company	Germany	http://basf.com/group/corporate/en/function/conversions/publicity/current/sustainability/our-values/images/BASF_Human_Rights_Position.pdf	Corporation	Code	Corporate	International	N/A	N	N/A	N	Y	N	N/A	N	N	N	N	N	N	N	N	N	N	N	N	Y	Y	Y	Brief statement of commitment to respect IP rights in Human Rights Policy. <u>Level of Consult:</u> No mention of IP, but they conduct public opinion surveys and set up Community Advisory Panels (groups of people who live around facilities and engage in dialog with BASF about local issues) (see, e.g., http://www.greater-china.basf.com/pages/China/GChina/en/content/BASF-China/1.5_News_Media_Relations/1.5.1_News/BASF_launches_Community_Advisory_Panel_in_Chongqing). <u>Monitoring:</u> For social standards, they conduct an annual global survey of their performance and externally audit the results.	
Extractive Industries and Indigenous Peoples: Social Performance (Impact Management, Benefits Creation, Relationships); Society - Our Strategy - Impact Management; Strategic Social Investment; Sustainability Performance Report 2010 - Society	BG Group	UK	https://docs.google.com/presentation/d/1a4uqsc8p9FhwjDDE1UwWw_zhYtr_0q/Documents/Issues/Peoples/Seminars/BG_Group_Hugh_Archer_Moscow_workshop.pdf#BQGroup=and+indigen+resources&hl=en&gl=us&id=3d8c9d40DCE5f0WfWfG5qauKd4eQKCBp79K8Tt1F-dN4z8mAm_Hb1OZCMab_SJfO38uKwT0w_mz03-4on70P4KH7u4p089z0Rt1x1QarG444e4P83722u4u4d424ANd4ew4Zu4n3484u4HEH4T4ex4ts4W4Z4M4u4T4d4H4V4p4http://www.bq-group.com/sustainability/0/Management/Systems/SocialPerformance/Peoples/default.aspx;http://www.bq-group.com/sustainability/0/Society/Pages/Society_our_strategy.aspx;http://www.bq-group.com/sustainability/0/Society/Pages/StrategicSocialInvestment.aspx;http://www.bq-group.com/sustainability/0/Documents/2.%20Performance%20Report%202010-%20Society.pdf	Corporation	Guideline	Corporate	International	N/A	Y	Y (appear to be incorporating definition in IFC PS7, see PPT presentation)	Y	Y	N (not directly, but link policies to IFC PS7, which references FPIC)	Extensive	Y	N	Y	Y	N	N	N	Y (cultural heritage, economic development, health, infrastructure)	Y (ESIA's, SIAs, Ethnographic/Cultural Heritage Surveys)	N	Y	Y (also uses term "dispute resolution mechanisms")	N	Y	Y	Y	Very good PowerPoint presentation. It provides a summary of BG Group's extensive policies. Their guidelines/policies are linked to IFC Performance Standards (specifically PS7, PS5 and PS8). They appoint experts to develop Indigenous Peoples Plans (IPPs) where IP are affected by projects. They also place emphasis on identifying whether IP exist in project areas upfront. They screen suppliers for human rights risks (e.g., re: IP). <u>Level of Consult:</u> They consult with experts to ensure full IP representation in consultations and engage early and negotiate re: benefits. They have signed Indigenous Land Use Agreements with IP in Australia (per statutory requirements). <u>Contribution to Local Development:</u> They develop 3-5-yr strategies for social investments (Social Investment Plans). <u>Equity:</u> They don't appear to give equity, but do negotiate life-of-project benefits agreements with IP. <u>Monitoring:</u> They have independent 3rd parties review the consultation process. Impact mgmt measures, compensation and benefits packages. NOTE: Good case studies on these policies' implementation in the Bolivian, Indian and Australian context.
Sustainability Report 2011; Aboriginal Cultural Heritage (Olympic Dam Expansion); Indigenous Cultural Heritage (Caval Ridge Mine); Social Impact Assessment (Caval Ridge Mine); Indigenous Australian Career Opportunities; Olympic Dam Expansion Environmental Impact Statement - Employment and Training; Reconciliation Australia's Partnership with BHP Billiton	BHP Billiton	Australia	http://www.bhpbilliton.com/home/about/sustainability/reports/Documents/2011/BHPBillitonSustainabilityReport2011_Interactive.pdf http://www.bhpbilliton.com/home/about/regulatory/Documents/OlympicDamExpansion%20Appendices%20ESB/Documents/Chapter%2018%20Aboriginal%20Cultural%20Heritage.pdf http://www.bhpbilliton.com/home/about/regulatory/Documents/Chapter2018.pdf http://www.bhpbilliton.com/home/about/regulatory/Documents/18ESBAppendixA%20Appendix.pdf http://www.bhpbilliton.com/ESB/Details.aspx?JobID=594540&Site=AW&Language=en http://www.bhpbilliton.com/home/about/regulatory/Documents/odEsAppendix07EmploymentAndTraining.pdf http://www.reconciliation.org.au/sa/about/partnership-with-bhp-billiton	Corporation	Guideline	Corporate	International	Australian IP (primarily)	N	N/A	Y	Y	Y ("we seek [FPIC] when it is mandated and defined [by law]")	Y	Y (Moderate/Extensive is mandated and defined by law)	Y (Indigenous Women's Leadership Group; SIAs Y also address the issue)	Y	Y	Y (education)	Y (cultural heritage, good governance, health, infrastructure)	Y (SIAs, Cultural Heritage Assessments)	N	Y	Y	Y	N	Y	Y	Y	Y	Extensive indigenous cultural heritage assessments. <u>FPIC:</u> They're working with the CMIU to develop guidelines to address IFC's FPIC requirement (see Sustainability Report 2011 for more FPIC info). <u>Level of Consult:</u> They engage in negotiations with local populations to reach agreements about land use and mutual cooperation (for Olympic Dam, they held monthly meetings over 2-yr period). BHP also funded indigenous stakeholders' use of outside experts to obtain independent advice about environmental and social impacts. <u>Employment:</u> BHP has specific job opportunities and training available for IP in Australia, including an Indigenous Employee Program and a pre-employment training program. <u>Grievance Mechanism:</u> For local communities generally, not just IP. <u>Monitoring:</u> See SIA (Caval Ridge Mine).
Indigenous People: Our Environmental and Social Practices; Community Engagement in the Arctic	British Petroleum	UK	http://www.bp.com/sectiongenericarticle800.do?categoryId=904021&contentId=7073392 http://www.bp.com/sectiongenericarticle800.do?categoryId=904033&contentId=7073726 http://www.bp.com/sectiongenericarticle800.do?categoryId=904033&contentId=7067678	Corporation	Guideline	Corporate	International	N/A	N	N/A	N/A	Y	Y	N	Unclear	Y	N	Y	Y	N	Unclear	Unclear	Y	N	Y	N	N	N	N	N	BP's best practices for IP are based on the UN Declaration on the Rights of IP. BP claims to involve IP in the design of its projects and impact assessments. Its website also says that BP has "detailed recommended practices in relation to engaging with and respecting the rights of indigenous people living in areas affected by our activities", however, I found no such detailed policy. <u>Level of Consult:</u> BP consults with IP early on about issues including land access, but it's unclear to what degree consultation takes place. BP claims to hire a team of external experts to advise them on navigating complex social issues (like dealing with IP). They also hold workshops, give presentations and have open houses to communicate with local populations. NOTE: It seems like the level of consult depends upon the project. <u>Contribution to Local Development:</u> BP invests significant amounts of money in local communities in project locations, often in partnership with local organizations, however, BP's policy does not specifically talk about investing to help IP. <u>Employment:</u> BP employs IP to help with revegetation and other local activities, but does not have a stated policy of hiring IP. <u>Education:</u> BP supports a number of local educational initiatives, including some focused on IP (e.g., they work with Andriew to run "Schools on Board"). NOTE: BP Canada Energy Co is a member of the Oil Sands Developers Group, which has an Aboriginal Affairs Committee (http://www.oilsandsdevelopers.ca/index.php/about-osdg/committees/aboriginal-affairs-committee).
Reconciliation Action Plan 2011 - 2013	British Petroleum Australia	UK	http://www.bp.com/livesasess/bp_internet/australia/corporate_australia/STAG/Global_assets/downloads_pdfs/RAP_RAP_Princ_frenchy.pdf	Corporation	Guideline	Corporate	Australia	Australian IP	N (uses term Aboriginal)	N/A	Y	Y	N	Unclear	Y	N	Y	Y	N	Y (development of Opal fuel)	Y (development of Opal fuel)	N	N	Y	N	N	Y	N	Y	<u>Level of Consult:</u> Consultation occurs in a variety of ways (e.g., with Aboriginal leaders with whom BP has a relationship). <u>Impact Assessment:</u> Not as part of the RAP, but they may occur along with project development in OZ. <u>Monitoring:</u> Monitoring of progress toward RAP goals is conducted regularly by the RAP Advisory Group and the RAP Project Manager.	
Sustainable & Responsible Investing Signature Criteria: Indigenous Peoples' Rights: A Guide to Calvert's SRI Approaches	Calvert Investments	US	http://www.calvert.com/sri/signature-criteria.html#indigenous-peoples-rights http://www.calvert.com/trcliterature/documents/110006.pdf#tj=11.10036	Corporation	Guideline	Corporate	International	N/A	N	N/A	Y	Y	N	Unclear	Y	N	N	N	N	N	N	N	Y	N	N	N	N	N	N	Short statement of commitment/approach that only makes general assertions, including that Calvert "seek[s] to avoid investing in companies that have a pattern and practice of violating the rights of Indigenous Peoples" Their policy statement is similar to that of Pax World Investments. <u>Impact Assessment:</u> Includes "Indigenous Peoples Rights" as one of several criteria for investment. Implies some form of impact assessment is done for companies in which Calvert considers investing.	
Business Conduct and Ethics Code; 2008 Corporate Responsibility Report	Chevron	US	http://www.chevron.com/documents/pdf/chevronbusinessconductethicscode.pdf http://www.chevron.com/globalissues/corporateresponsibility/2008documents/Chevron_CR_Report_2008.pdf	Corporation	Code	Corporate	International	N/A	N	N/A	N	Y	Y	N/A	Y	N	N (Chevron Australia does; other project/country groups might too)	Y	N	N	N	Y (cultural heritage)	Y (ESHIA)	N	Unclear (doubtful)	N	N	N	N	N	Very few international Chevron policy docs related to IP, but they claim to be in line with World Bank standards. Chevron has invested a lot in IP-related initiatives and has done consultations and impact assessments for certain projects and countries within which it operates. (see Chevron Australia docs below and the Chevron Latin American CR Report (http://www.chevron.com/documents/pdf/latamCRReport.pdf)). Their efforts seem substantial but not codified in a policy or necessarily done at the int'l level. <u>Business Conduct and Ethics Code:</u> IP commitment asserted in context of Human Rights Policy (p27). <u>Employment:</u> There's no clear policy, but they have hired a large % of IP and suppliers run by IP for certain projects/countries (e.g., Colombia, Australia).
Indigenous Commitment: Indigenous Community Volunteers; Chevron Australia - Fact Sheet; Gorgon Community Engagement	Chevron Australia	US	http://www.chevronaustralia.com/community/indigenous_commitment.aspx http://www.chevronaustralia.com/community/educationaltraining/corporate/indigenouscommunityvolunteers.aspx http://www.chevron.com/documents/pdf/australiafactsheet.pdf http://www.chevronaustralia.com/1/buyers/Chevron_Documents/GorgonC4AnnualReview_11ESB.pdf#tj=14	Corporation	Code	Corporate	Australia	Australian IP	N	N/A	Y	Y	N	Moderate	Y	N	Y	Y	N	Unclear	Y (cultural heritage, health, language, policing services, communal facilities)	Y (ESHIA, Indigenous Cultural Heritage Surveys)	N	Unclear (doubtful)	N	N	Y	N	Y	IP policy language is very general. It includes a statement of commitment and approach to dealing with IP in Australia, as well as mention of formation of an Indigenous Working Group (team of internal practitioners and experts working to increase engagement with IP). Chevron Australia also has an Indigenous Engagement Advisor and Community Reference Groups (with whom they consult). <u>Level of Consult:</u> They claim to "actively consult" with indigenous communities, but the policy doesn't specify. The Gorgon Community Engagement doc talks about a number of "community consultations" (it seems like these meetings might partially be a tool for Chevron to advertise the benefits of their project). <u>Equity:</u> Chevron has signed Native Title agreements (which incorporate a number of "benefits" for local communities (education, business, etc.), but they don't have a policy of giving IP equity. <u>Independent of Project:</u> Most of the investments mentioned are in areas in which Chevron has projects, but they partner with some groups that may work in non-project areas (e.g., the Clontarf Foundation). <u>Monitoring:</u> There is some form of basic monitoring that goes into the production of annual reports, but there is no extensive system set up.	

Source Name	Source Author	Author's Jurisdiction	Link to Source	Source Group	Type of Source	Scope	Geographic Region	Indigenous Group	Indigenous People Defined?		Policy Aimed Exclusively Towards Indigenous Issues?	Industry Specific?	FPIC referenced?		Promotes Sustainable Environmental Practices?	Promotes Women's Participation/Gender Equality?	Contribution to Local Development					Community Engagement			Monitoring			Comments			
									Y/N	Derived from external source?			Y/N	If not, level of consult?			Education	Employment	Equity Participation	Contribution Independent of Transactions?	Other	Impact Assessment?	Involved with Developing Standard?	Early Stage Engagement	Grievance Mechanism	Indigenous Participation in Business Model	Ongoing?		By Independent 3rd Party?	By Internal Party?	
N/A	Chiquita Brand International	US	No IP policy	Corporation	N/A	Corporate	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
Sustainable Development; Indigenous Communities (Engagement and Consultation, Minimizing Impact of Resource Development, Socioeconomic Development Opportunities, Environment, Culture); Sustainable Development - Peru Stakeholder Engagement	ConocoPhillips	US	http://www.conocophillips.com/EN/usadev/communities/indigeno-us/Pages/index.aspx ; http://www.conocophillips.com/EN/usadev/communities/indigeno-us/engagement/Pages/index.aspx ; http://www.conocophillips.com/EN/usadev/communities/indigeno-us/resource_development/Pages/index.aspx ; http://www.conocophillips.com/EN/usadev/communities/indigeno-us/socioeconomic/Pages/index.aspx ; http://www.conocophillips.com/EN/usadev/communities/indigeno-us/environment/Pages/index.aspx ; http://www.conocophillips.com/EN/usadev/policies/humanrightspostion/Pages/index.aspx ; http://www.conocophillips.com/EN/usadev/ethics/peru/Pages/index.aspx	Corporation	Guideline	Corporate	International	N/A	N	N/A	Y	Y	N	Extensive	Y	N	Y	Y	N	N	Y (cultural heritage, health, infrastructure, socio-economic development)	Y (ESIAS)	N	Y	N	N	Unclear	N	Unclear	Fairly extensive IP policies. They say their relations with IP are governed by "national laws of the countries in which we are working, our own positions on sustainable development and human rights, and our core SPIRIT values". Their Human Rights Position also states that their policies toward IP are in line with ILO Convention No. 169 and the UN Declaration on the Rights of IP. <u>Level of Consult:</u> They meet regularly with regional gov'ts, community associations, local leaders, and the general public in affected areas. They try to sign agreements like Memorands of Understanding re impacts and mitigation strategies and make significant efforts to come to agreements with local communities. <u>Contribution to Local Development:</u> They partner with local community representatives to identify the most beneficial programs for investment. <u>Equality:</u> It doesn't seem they give equity, but they do compensate communities for impacts. <u>Monitoring:</u> There may be continuing impact assessments or consultations which serve as monitoring, but I was unable to find a separate monitoring system. NOTE: ConocoPhillips has additional policies for countries other than Canada (see below), e.g., Russia and Norway.	
Engagement with Aboriginal Peoples; Our Social Communities; Stakeholder Engagement and Aboriginal Peoples; Aboriginal Peoples - Education	ConocoPhillips Canada	US	http://www.pcpscustainability.com/where-are-we-working/oil-sands/aboriginal/index.cfm#disadvantaged-aboriginal-peoples.cfm ; http://www.pcpscustainability.com/canada-wide/issues/social-stakeholder-engagement/aboriginal-peoples.cfm ; http://www.pcpscustainability.com/where-are-we-working/western-canada/as-a-cultural-organization/aboriginal-peoples/index.cfm#aboriginal-peoples.cfm ; http://www.pcpscustainability.com/where-are-we-working/industrial-stakeholder-engagement.cfm ; http://www.pcpscustainability.com/where-are-we-working/western-canada/as-a-social-organization/aboriginal-peoples/education.cfm ; http://www.conocophillips.ca/EN/csds/reporting/documents/4564-04_OilSands.pdf	Corporation	Guideline	Corporate	Canada	Canadian IP	Y (uses term Aboriginal)	Y (Canadian Constitution)	Y	Y	N	Extensive	Y	Y (fund women's shelters)	Y	Y	N	Y (education)	Y (cultural heritage, health, infrastructure, socio-economic development)	Y	N	Y	N	N	Unclear	N	Unclear	Fairly extensive policies. <u>Monitoring:</u> There may be continuing impact assessments or consultations which serve as monitoring, but I was unable to find a separate monitoring system. NOTE: ConocoPhillips Canada is a member of the Oil Sands Developers Group, which has an Aboriginal Affairs Committee (http://www.oilsanddevelopers.ca/index.php/about-csdg/committees/aboriginal-affairs-committee/).	
2010 Social Responsibility Report	COSCO	China	http://www.unglobalcompact.org/system/attachments/13302/original/COSCO_Sustainability_Report_2010.pdf?1327418679	Corporation	Code	Corporate	International	N/A	N	N/A	Y	Y	N	Unclear	Y	N	N	N	N	Y (cultural heritage, social services)	Unclear	Y	N	Unclear	Y	Unclear	Y	Y	Y	<u>Equality:</u> Does not give equity to IP, but claims to redistribute income from projects to local communities (according to the regulations of local governments). <u>Impact, Assessment:</u> Claims to conduct "influence evaluations" before and after leaving a community. No evidence of a formalized social impact assessment process. <u>Contribution to Local Development:</u> Engages in investment in local communities (e.g., building infrastructure), but investment projects not specifically geared toward IP. <u>Grievance Mechanism:</u> They claim to investigate IP rights violations and impose remedial measures; very limited information was available. <u>Monitoring:</u> They claim to have internal and external audits on their Human Rights Performance and Social Performance, but unclear whether or how they monitor interactions with IP.	
Careers: Lily Culture; 2010 Corporate Responsibility Report	Eli Lilly & Co	Canada	http://www.lilly.ca/en/TheContentManager/getContentCatalogItem?UTM_campaign=1308431863&el=cd&w=WOC172d44TKJNPx&ParentID=124706941492 ; http://www.lilly.com/SiteCollectionDocuments/pdf/Lilly%20Corporate%20Responsibility%20Report%202010%20Lilly%20Corporate%20Responsibility%20Report.pdf	Corporation	Code	Corporate	Canada	Canadian IP	N (uses term Aboriginal)	N/A	N	Y	N	N/A	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	No real IP policy. Brief mention of IP in 2010 Corporate Responsibility Report. <u>Engagement:</u> Aboriginal persons cited as one of four groups of disadvantaged people Eli plans to help gain employment within their company.
Protection and Promotion of Human Rights; Sustainability - Communities (Impact Evaluation, Relations with Communities, Cooperation Agreements, Local Content)	ENI	Italy	http://www.eni.com/en_it/attachments/sostenibilita/innee_guida_della_uniana_eng.pdf ; http://www.eni.com/en_it/sustainability/sust-human-rights/sust-human-guida.shtml ; http://www.eni.com/en_it/sustainability/communities/impact-evaluation/impact-evaluation.shtml ; http://www.eni.com/en_it/sustainability/communities/stakeholders-with-communities/relations-with-communities.shtml ; http://www.eni.com/en_it/sustainability/communities/cooperation-agreements/cooperation-agreements.shtml ; http://www.eni.com/en_it/sustainability/communities/local-content/local-content.shtml	Corporation	Guideline	Corporate	International	N/A	Y	Y (ILO Convention No. 169)	N	Y	YN ("free prior and informed participation in the consultation process")	Moderate/Extensive	Y	N	Y	Y	N	N	Y (health, infrastructure)	Y (ESIAS)	N	Y	N	N	Y	Unclear	Unclear	They address IP rights as part of a broader Human Rights Policy. They have begun creating specific policies for different project areas (see below). <u>Level of Consult:</u> They have a special unit for community relations in all ENI owned companies and develop work groups for "vulnerable groups" including IP. <u>Equality:</u> They don't seem to give IP equity, but they give them distributions of profits from extraction activities. <u>Monitoring:</u> They engage in monitoring and evaluation of environmental and social impacts, but unclear who carries out monitoring and how it is done. They also appear to monitor their suppliers (for human rights standards).	
Indigenous Peoples Policy; Backstop Operations - Onshore Gas Plant Environmental Management Plan	ENI Australia	Italy	http://www.eni.com/en_it/attachments/sostenibilita/indigenous-peoples-policy-australia.pdf ; http://www.eni.com/en_it/assets/pdf_files/001719430/vna_s_en157_eni_emp.pdf	Corporation	Code	Corporate	Australia	Australian IP	N	N/A	Y	Y	YN ("free and informed participation in the consultation process")	Moderate/Extensive	Y	N	N	N	N	Y (cultural heritage)	Y (ESIAS)	N	Y	N	N	N	N	N	N	Page-long IP policy. Also, additional reports talk about IP. They create Social Impact Management Plans. <u>Cultural Heritage:</u> Cultural heritage and sacred site assessments are done (see Backstop Environmental Management Plan). <u>Equality:</u> They don't seem to give IP equity, but they do give them distributions of profits from extraction activities.	
Policy on Indigenous People	ENI Norge	Italy	http://www.eni.com/en_it/sustainability/sostenibilita/Eni-Norve-Policy-on-Indigenous-People.pdf	Corporation	Code	Corporate	Norway	Samí	YN	Y (Norwegian Law and ILO Convention No. 169 definitions implicated (full definitions not incorporated))	Y	Y	YN ("free and informed participation in the consultation process")	Moderate/Extensive	N	N	N	N	N	Y	N	N	Y	N	N	N	N	N	N	Page-long IP policy. <u>Involved in Developing Standards:</u> Says ENI Norge will try to incorporate IP perspectives in their company decision-making, but no indication IP helped develop the existing IP policy. <u>Equality:</u> They don't seem to give IP equity, but they claim to "host opportunities" for them to "participate in the benefit" of ENI's activities.	
Human Rights - Community and Development (Impacts and Relationships, Consultation and Engagement); Community and Development - Higher Education; 2010 Contributors and Community Investments; Careers - Employment Policies and Practices	Exxon Mobil	US	http://www.exxonmobil.com/Corporate/community_rights_relation.aspx ; http://www.exxonmobil.com/Corporate/community_rights_engage_mmt.aspx ; http://www.exxonmobil.com/Corporate/community_waqvina.pdf ; http://www.exxonmobil.com/Corporate/Files/cgr_contributors_and_community_investments_2010.pdf ; http://www.exxonmobil.com/Corporate/careers_empolicies.aspx	Corporation	Guideline	Corporate	International	N/A	N (uses terms Native American, American Indian and Aboriginal)	N/A	N	N	Y	Y ("strive to achieve" FPIC)	N/A	Y	N	Y	Y	N	Y (American Indian College Fund, American Indian Science & Engineering Society)	Y (ESHIA's)	N	Y	Y	Y	N	Y	Y	Y	<u>Cultural Heritage:</u> Particular attention to cultural heritage. <u>Cultural Heritage Management Plans</u> are developed when deemed necessary. <u>Separate Indigenous Policy:</u> The policy is not separate, but there is a lengthy statement re: IP on the Consultation and Engagement page. <u>Level of Consult:</u> Surveys are carried out before and after a site is approved for construction (they include discussions with locals). Claim to consult with tribal elders and community leaders from certain indigenous communities. <u>Equality:</u> Don't grant it, but do compensate for displacement, and try to avoid resettlement. <u>Resettlement:</u> When resettlement is necessary, they first create Resettlement Action Plans (based partially on consultation with IP). They provide locals with access to legal advice from a local non-profit in PNG. <u>Grievance Mechanism:</u> Their Upstream Socioeconomic Management Standard includes provisions for establishing a grievance mechanism "where appropriate". <u>Monitoring:</u> They develop "appropriate" monitoring strategies related to potential socioeconomic impacts and have monitoring components for the resettlement process. They have an External Assessment Panel to audit corporate citizenship efforts annually. NOTE: Because of the lawsuits re: Exxon Valdez, it was difficult to find information on Exxon's Native American/Indigenous Peoples policies; anti-Exxon information dominates web searches.
Aboriginal Engagement (Scholarships, Education and Employment Programs, Consultation, Workforce Development, Business Development, Community Relations, Indigenous Women in Community Leadership); 2009 Corporate Citizenship Report - Indigenous Peoples - Engaging Aboriginal Communities in Canada; Aboriginals Have a Good Neighbor at Cold Lake; Environmental and Socio-economic Impact Assessments	Exxon Mobil - Imperial Oil	US	http://www.imperialoil.ca/Canada/English/community_aboriginal.aspx ; http://www.imperialoil.ca/Canada/English/community_aboriginal_scholar.aspx ; http://www.imperialoil.ca/Canada/English/community_aboriginal_consult.aspx ; http://www.imperialoil.ca/Canada/English/community_aboriginal_work.aspx ; http://www.imperialoil.ca/Canada/English/community_aboriginal_business.aspx ; http://www.imperialoil.ca/Canada/English/community_aboriginal_relations.aspx ; http://www.imperialoil.ca/Canada/English/community_aboriginal_leader.aspx ; http://www.exxonmobil.com/Corporate/Reports/cor2009/pdf/cr_c_s_indigenous-peoples.pdf ; http://www.exxonmobil.com/Corporate/community_cor_ghbasstcr.es_wfp.aspx ; http://www.exxonmobil.com/Corporate/Files/news_pub_lamp_2008-4.pdf ; http://www.imperialoil.com/Canada/English/community_cor2010_environmental_assess.aspx	Corporation	Code	Corporate	Canada	Canadian IP	N (uses term Aboriginal)	N/A	Y	Y	N	Moderate/Extensive	Y	Y	Y	Y	N	Y (education, employment)	Y (cultural heritage, business development)	Y (ESIA)	N	Y	N	N	Unclear	N	Unclear	Imperial's website has separate IP policies and investment schemes. They also have an Aboriginal Relations Manager, Representative and Advisor. <u>Level of Consult:</u> They regularly meet with members of the indigenous communities to discuss impacts and mitigation. <u>Contribution to Local Development:</u> Imperial's Native Internship Program and the Imperial Native Network are interesting programs for IP. <u>Monitoring:</u> Evidence of social impact monitoring was not readily accessible but, given that Exxon's international policy calls for it, it may exist.	

Source Name	Source Author	Author's Jurisdiction	Link to Source	Source Group	Type of Source	Scope	Geographic Region	Indigenous Group	Indigenous People Defined?	Policy Aimed Exclusively towards Indigenous Issues?	Industry Specific?	FPIC referenced?	Promotes Sustainable Environmental Practices?	Promotes Women's Participation/Gender Equality?	Contribution to Local Development	Impact Assessment?	Involved with Developing Standard?	Community Engagement	Indigenous Participation in Core Business Model	Monitoring	Comments											
								YN	Derived from external source?			YN	If not, level of consult?																			
Regional Support: Regional Partnership Programmes, Support for the Indigenous Minorities of the North; 2010 Sustainability Report; OAO Gazprom - Environmental Report (2009)	Gazprom Neft	Russia	http://www.gazprom-neft.com/social/responsibility-cooparms.php ; http://www.gazprom-neft.com/social/responsibility-ethnic.php ; http://www.gazprom-neft.com/social/responsibility-2010/04/gazprom-neft_sustainability_report_2010_Eng.pdf ; http://www.gazprom.com/files/035/265743env/romental-report-2009.pdf	Corporation	Code	Corporate	Russia	Russian IP	N	N/A	Y	Y	N	Moderate	Y	N	Y	Y	N	N	Y (cultural heritage, health, infrastructure, provision of basic necessities, youth)	N	N	Y	N	N	N	N	N	Extensive IP policy. Gazprom makes a number of statements of commitment to working with and helping support IP, especially in the Russian North. They claim to invest a lot in indigenous communities and partner with local NGOs. With respect to the Yamal project, Gazprom partners with a local NGO called the Association of Indigenous Peoples of the Russian North - Preserve Yamal for Prosperity. Level of Consult: They consult with the heads of ethnic communities and have Regional Partnership Programmes, through which they sign social and economic agreements with authorities from several regions (e.g., Khanty-Mansi Autonomous Okrug, Yamalo-Nenets Autonomous Okrug, and Pur District) (see, e.g., http://www.gazprom-neft.com/press-center/news/4955/?phrase_id=57013 ; http://www.gazprom-neft.com/press-center/news/4942/?phrase_id=57013). Equity: They allocate some form of monies to indigenous families in North Russia, but no evidence they provide them with equity. Monitoring: They do significant EIAs and monitoring, but it seems they don't do so for social impacts. NOTE: Gazprom also holds a more than 50% interest in the Sakhalin project (see below).		
Human Rights Policy; 2010 Corporate Sustainability Report; Community and Social Performance	Hess	US	http://www.hess.com/sustainability/vonmont/Human%20Rights%20Policy.pdf ; http://www.hess.com/reports/sustainability/US2010/default.pdf ; http://hess.com/reports/sustainability/US2008/Community%20and%20Social%20Performance/default.aspx	Corporation	Code	Corporate	International	N/A	N	N/A	N	Y	N	Unclear	Y	N	N	N	N	N	N	Y (ESIA4)	N	Y	N	N	Y	N	Y	Very minimal, vague policy on IP. Brief statement in Human Rights Policy about respecting IP rights and conducting appropriate due diligence and monitoring. They claim they're "working to ensure that [their] contractors and suppliers respect this policy". Short statement of commitment to respecting IP rights and cultures in 2010 Social Responsibility Report. No readily available Hess Australia IP policy or investment scheme. Contribution to Local Development: Hess donates a significant amount to health and education-related initiatives in the communities in which it operates. IP are not targeted by these programs or, if they are, it is not made clear in their online materials. Level of Consult: Totally unclear. Hess says it engages in "proactive stakeholder consultations" and conducts due diligence with respect to human rights and the social impact of its projects, but I found no specific evidence of how and to what degree it consults with IP. Employment: Job posting listed for a Principal Advisor of Aboriginal Affairs for Hess's activities in Western Australia. Impact Assessment: They conduct ESIA4s before initiating a project and claim to evaluate all social risks, but don't specifically mention IP in this context.		
Diversity and Inclusion - Supplier Diversity	Hilton	US	http://www.hiltonworldwide.com/assets/pdfs/diversity-one-paper.pdf	Corporation	Regulation	Corporate	International	N/A	N	N/A	N	Y	N	N/A	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	Hilton has a Supplier Diversity Program. It aims to maximize contracting opportunities to minority-owned businesses, including those owned by Native Americans. No other IP policies.		
Aboriginal Affairs; Aboriginal Community Investment; Aboriginal Educational Awards; Aboriginal Career Fairs; Community Initiatives; Environmental Impact Assessment; Traditional Land Use Assessment; Aboriginal Affairs (fact sheet)	Husky Energy	Canada	http://www.huskyenergy.com/socialresponsibility/aboriginalaffairs/default.asp ; http://www.huskyenergy.com/socialresponsibility/aboriginalaffairs/communityliving.asp ; http://www.huskyenergy.com/socialresponsibility/aboriginalaffairs/educationalawards.asp ; http://www.huskyenergy.com/socialresponsibility/communityinvestments.asp ; http://oil.pw.usa.ca/news/usa/2004-06-husky/energy/2004/termalproject/online/2004/06/2004/sectors/2011/volumes/2011/sectors/2011.pdf ; http://www.cesa.ac.ca/060/documents_static/sectors/sectors/37519/2006/104.pdf ; http://www.huskyenergy.com/downloads/about_husky/publications/factsheets/aboriginal-affairs.pdf	Corporation	Guideline	Corporate	International	Canadian IP (primarily)	N (uses term Aboriginal)	N/A	Y	Y	N	Moderate/Extensive	Y	N	Y	Y	N	Y (education, employment (career fairs))	Y (health, economic development)	Y (EIAs, SAS, Traditional Land Use Assessments)	N	Y	N	N	Y	N	Y	Y	They have an Aboriginal Affairs Team to engage with Aboriginal communities and advise on their relationships with such communities, and have an Aboriginal Affairs Guide to provide employees with an overview of Husky's commitment to Aboriginal communities. Level of Consult: They consult through their Aboriginal Affairs Team. Depending on the situation, they conclude formal Memoranda of Understanding or less formal shared understandings of community engagement processes with IP. Contribution to Local Development: They contribute a significant amount of money to an Aboriginal Educational Awards Program and do a lot to promote economic development in Aboriginal communities. Equity: They fund all levels, but focus on getting Aboriginals to enter fields of higher education related to the oil and gas industry. Impact Assessment: Assessments of traditional land use and of the socio-economic effects of projects on IP are done as part of EIAs. NOTE: Husky is a member of the Oil Sands Developers Group, which has an Aboriginal Affairs Committee (http://www.oilsandsdevelopers.ca/index.php/about-odsg/committees/aboriginal-affairs-committee/).	
2009 and 2010 Worldwide Contributions Report	Johnson & Johnson	US	http://www.investor.jnj.com/2009contributionsreport/community-responsibility/asia-pacific.html	Corporation	Investment	Corporate	Australia	Australian IP	N	N/A	N	N	N	N/A	N	N	Y	N	N	Y	N	N	N	N	N	N	N	N	N	No IP policy. One of J&J's investment projects is targeted at IP.		
Reconciliation Action Plan 2009/10; Reconciliation Action Plan Report and Refresh 2011; Corporate Citizenship 2011	KPMG	Switzerland	http://www.reconciliation.org.au/extrafiles.php?id=584&file=KPMG-RAP4102009.pdf ; http://equity.kpmg.com/corporate_citizenship_2011/10/200914e	Corporation	Guideline	Corporate	Australia	Australian IP	N	N/A	Y	Y	N	N/A	N	N	Y	Y	N	Y	Y (cultural heritage, economic development)	N	N	N	N	N	Y	N	Y	RAP 2009/10 is an 8-page IP-focused RAP policy formed in cooperation with Reconciliation Australia. RAP Report and Refresh 2011 is a more extensive document, explaining the progress of guideline implementation. Corporate Citizenship 2011 just restates the RAP guidelines. Monitoring: A RAP Working Group monitors the company's progress. The Group is made up of internal and external persons, so monitoring is conducted by an internal group with some external representatives, but not by an independent 3rd party.		
Code of Business Ethics; True Match Make Up; 2010 Philanthropy Report	L'Oréal Group	France/US	http://www.loreal.com/en/_www.html?companyidpfcode_of_ethics_us.pdf ; http://www.lorealparisusa.com/_us/_us/default.aspx?#page-to-view=staticfilestaticmainbrandpage_tuematchmedia_biankii&property_blink&cid=lorus_rm_Google_Makeup&tr=Tuesday_Match ; http://www.sustainabledevelopment.loreal.com/communities/foundation-philanthropy-report.pdf	Corporation	Code	Corporate	International	N/A	N	N/A	N	N	Y	N	N/A	N	N	N	N	N	N	N	Y (previously, marketing of product seems to have moved away from any IP-focus)	Y	Y	Y	Y	Y	Y	Y	L'Oréal does not have an IP policy. Code of Business Ethics: Mentions having respect for local communities and customs, and human rights. No specific mention of IP. Monitoring: They conduct Code of Business Ethics audits on their own employees and suppliers. Indigenous Participation in Core Business Model: True Match Make Up allows you to match your foundation to your "skin's story". Was initially marketed as being able to accommodate the Native Americans skin tone. No mention of IP in current marketing.	
2010 CSR Report - Socio-Economic (Local Content, Community and Society, Human Rights); 2009 CSR Report; Health, Environmental, Safety and Corporate Responsibility Committee	Marathon Oil	US	http://www.marathonoil.com/Social_Responsibility/Reporting/2010_CSR_Report/SocioEconomic_2010/Local_Content.pdf ; http://www.marathonoil.com/Social_Responsibility/Reporting/2010_CSR_Report/SocioEconomic_2010/Community_and_Society.pdf ; http://www.marathonoil.com/content/documents/social_responsibility/our_values_reports/our_report_2009_final.pdf ; http://www.marathonoil.com/Social_Responsibility/Reporting/2009_CSR_Report/SocioEconomic_2009/Human_Rights.pdf ; http://www.marathonoil.com/content/documents/investor_center/corporate_governance/charter_HESCR_committee_2011.pdf	Corporation	Code	Corporate	International	N/A	N	N/A	Y (sometimes grouped under Human Rights)	Y	N	Moderate	Y	N	Y	Y	Y	N	N	Y (Human Rights Risk Assessments)	N	Y	N	N	Y	N	Y	Y	Level of Consult: Marathon maintains Community Advisory Panels of locals to advise on impacts and mitigation. They also claim to engage with stakeholders regularly. Monitoring: An internal committee monitors health, environmental, safety, social, public policy and political trends on a regular basis.	
2010 Sustainability Report - Partnering with the Amazonas Sustainable Foundation (FAS)	Marriott	US	http://www.marriott.com/AMedia/PDF/CorporateResponsibility/Marriott_Sustainability_Report_Update_2010.pdf	Corporation	Regulation	Corporate	International	N/A	N	N/A	N	Y	N	N/A	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	No IP policy. Marriott has a partnership with one NGO that affects IP -- Marriott committed \$2 million to help FAS protect the Juma Sustainable Development Reserve, which encompasses 1.4 million acres of rainforest. In 2010, among other things, they provided electricity to 29 Juma Reserve communities.		
Merck Graduate Fellowship in Aboriginal Health	Merck	US	http://cahr.uvic.ca/featured/the-merck-graduate-fellowship-in-aboriginal-health/	Corporation	Investment	Corporate	Australia	N/A	N	N/A	N	Y	N	N/A	N	N	Y	N	N	Y	N	N	N	N	N	N	N	N	N	No IP policy. Merck provides a fellowship for studying Aboriginal health.		
Monsanto Canada - Aboriginal Scholarships	Monsanto	US	http://indspira.ca/node/110	Corporation	Investment	Corporate	Canada	Canadian IP	N	N/A	N	Y	N	N/A	Y	N	Y	N	N	Y	N	N	N	N	N	N	N	N	N	No IP policy. Monsanto provides scholarships for Aboriginal Canadians, mostly for students in environmental studies.		
Local Communities; Annual Report - Natura 2008 Annual Report - Natura 2008; Natura Annual Report 2007; Natura Annual Report 2004; Avoided Deforestation and Non-Timber Forest Products; NY Meio Grosse, Brazil (Polymyx nino no); Natura Cosmetics: Contrasting Views of a Brazilian Cosmetic Company Through Textual Analysis (B.Elizabeth Smith, MA Thesis, Univ. of Florida (2009))	Natura Cosmetics	Brazil	http://www.naturabrasil.tv/Company/CompanySub.aspx?PageId=315 ; http://ed.natura.net/relatorio/2009_v2_PDF/relatorio_anual_co_medio_locales_1008.pdf ; http://natura.infoinvest.com.br/en/3536/Natura2008annual20Report-2009.pdf ; http://www.unglobalcompact.org/system/attachments/2477/999a1/COCP.pdf?1262614333 ; http://polymyx-nino.no/News/Newsarticle.html?id=3574&articleId=1215/Avoided-Deforestation-and-Non-Timber-Forest-Products-NW-Mao-Grosse ; http://brasil.sciencifi.org.br/natura/infoinvest.com.br/en/1647RA_2004_Eng.htm/RA_2004_Eng.html ; http://ent.fcua.edu.br/UF0024168smith_s.pdf	Corporation	Code	Corporate	International	N/A	N	N	N	Y	Y	N	Moderate/Extensive	Y	Y (one investment in indigenous women's empowerment)	Y	Y	Y	N	Unclear	Y (training in food security)	N	N	Y	N	Y (Ekos product line)	Unclear	N	Unclear	They claim to "recognize the importance of indigenous people and traditional communities as guardians of [knowledge of biodiversity]" and claim to be "the first Brazilian company, even without the existence of a law, to compensate Brazilian traditional communities for their knowledge of biodiversity". They signed the Amazon Forum Principles Letter, which "guarantees the collective rights of indigenous peoples. . . ." Level of Consult: They have a multidisciplinary team that assesses relationships with every affected indigenous community based on the following criteria: (i) benefit sharing, (ii) mobilization for economic sustainability, (iii) relationship channels, and (iv) satisfaction with "commercial relationship". They have concluded agreements with 19 indigenous communities (see Local Communities). Indigenous Participation in Core Business Model: They heavily advertise that they buy a portion of their raw materials for the Ekos product line from IP (IP are part of the supply chain) and they use indigenous imagery and photos in their promotional materials. They also say they protect IP's "image-use rights". Equity: They don't seem to give equity, but do give some form of compensation. Monitoring: They have a Sustainability Committee and a Sustainability Board that monitor execution of "action plans"; this may include policies toward IP, but it's unclear. NOTE: Much of Natura Cosmetics' information online is in Portuguese and Spanish, however, this survey only looked at materials in English.

Source Name	Source Author	Author's Jurisdiction	Link to Source	Source Group	Type of Source	Scope	Geographic Region	Indigenous Group	Indigenous People Defined?		Policy Aimed Exclusively towards Indigenous Issues?	Industry Specific?	FPIC referenced?		Promotes Sustainable Environmental Practices?	Promotes Women's Participation/Gender Equality?	Contribution to Local Development					Impact Assessment?	Community Engagement			Indigenous Participation in Core Business Model	Monitoring			Comments	
									Y/N	Derived from external source?			Y/N	If not, level of consult?			Education	Employment	Equity Participation	Contribution Independent of Transactions?	Other		Involved with Developing Standard?	Early Stage Engagement	Grievance Mechanism		Ongoing?	By Independent 3rd Party?	By Internal Party?		
Beyond the Mine - The Journey Towards Sustainability 2010; Community - Stakeholder Engagement; Community - Indigenous People; Community - Planning and Monitoring; Annex A - Ahaho South RAP; Social Impact Assessments	Newmont Mining	US	http://www.beyondthemine.com/2010/managing_for_sustainability/cies/social_responsibility_pdf.pdf/ , http://www.beyondthemine.com/2010/community/programs/stateholder_engagemeny/ , http://www.beyondthemine.com/2010/community/programs/stateholder_engagementindigenous_peoples_enagement/index.html , http://www.beyondthemine.com/2010/community/programs/planning_and_monitoring/ , http://www.newmont.com/sites/default/files/Annex_A_1.pdf , http://www.marathonmco.co.uk/community/social-impact-assessments/	Corporation	Code	Corporate	International	N/A	N	N	Y	Y	N	Moderate/Extensive	Y	N	Y	Y	N	Y	Y (cultural heritage, health)	Y (ESIAs or SIAs)	N	Y	Unclear	N	Y	Unclear	Y	Brief outline of IP policy. Very general. They claim to have committed themselves to the ICMM Position Statement on Mining and Indigenous Peoples and are the chair of the ICMM's working group on mining and IP. They cite conclusion of the Landmark Agreement with Aboriginal Australians (see below Newmont AsiaPac). Level of Consult: They conduct "social meetings" with the local communities and make reference to extensive consultations, not much available on specifics. Early Stage Engagement: They conduct baseline assessments before starting projects and they refer to the ICMM standard which cites involvement in "all stages of new development". Impact Assessment: They conduct baseline studies and ESIs and SIAs, depending on the project. The SIA website (last link provided) says that the "Mining License" requires the company to carry out an SIA once every 3 years. Monitoring: The Ahaho South's RAP says it's Newmont global policy to regularly monitor and audit their performance. The same document also claims compliance with World Bank standards.	
Newmont Asia Pacific - Beyond the Mine 2010; Newmont Australia; Australian Indigenous Peoples Statement of Commitment; UWA - Newmont Asia-Pacific Indigenous Scholarship in Geology; Landmark Agreement with Aboriginal Australians; Understanding Indigenous People; Working in Partnership - The Mining Industry and Indigenous Communities - The Newmont Gold Company	Newmont Mining Asia-Pacific	US	http://www.newmont.com/sites/default/files/7S271_BT_M_REPOR_T_2010.pdf , http://www.prs.net.au/agreement.asp?EntryID=2636 , http://spa.publishing.uwa.edu.au/latest/Section1/scholarship.aspx#deparahgate_scholarship%262624 , http://beyondthemine.com/2010/open_studies/2010/landmark_agreement_with_aboriginal_australians/ , http://newmont.com/features/our-people/features/Understanding-Indigenous-Cultures/ , http://www.rat.gov.au/resources/Documents/Programs/Working%26262626Paraphrase%208%20Newmont.pdf	Corporation	Code	Corporate	Asia/Pacific	N/A (focus on Australian IP)	N (uses term Aboriginal)	N	Y	Y	N	Moderate/Extensive	Y	N	Y	Y	N	Y (cultural heritage, economic development)	Y (SIAs)	N	Y	Unclear	N	Y	Unclear	Y	Brief statement of commitment to respect IP rights and follow Australian Indigenous Peoples Statement of Commitment. Link to the Statement of Commitment (in its entirety) is broken, however a summary is available. We may want to ask Newmont to provide the full statement. They conclude Land Access Agreements with Aboriginals in Australia (per legal requirements) and provided a 2-day cross-cultural awareness training course for contractors and employees in Western Australia. Employment: Extensive programs in Australia. They provide a chart breaking down the numbers of indigenous employees and contractors in project areas (see Newmont AsiaPac BTM report). They have a variety of training programs and formalized indigenous mentor networks. Newmont Gold Company: One policy doc focuses on this sub's IP policy (in Australia). It states that IP may be determined in reference to location (i.e., on Aboriginal freehold land under the Aboriginal Rights Act and/or Native Title Act), it emphasizes education, employment, training, mentoring, business enterprise and other economic development. It is light on the specifics re: monitoring, grievance mechanisms and social indigenous participation, among other things. It mentions the sub's relationship with Desert Knowledge Australia (www.desertknowledge.com.au) which seems to be a third party initiative. NOTE: Not all of the docs referenced in this row are formally Newmont AsiaPac policy docs, however, all have an AsiaPac focus and appear to operate together.		
Nike N7; N7 Fund	Nike	US	http://nikeen7.com/about-n7/ ; http://nikeen7.com/n7-fund/ , http://www.skebis.com/conceptcenter/communities/2-2-3-our-product-and-brand.php?cat=community-investment	Corporation	Investment	Corporate	US/Canada	Native Americans and Canadian IP	N (uses terms Aboriginal and Native American)	N/A	Y	Y	N	N/A	N	N	N	N	N	Y	Y (health)	N	N	N	N	N	N	N	N	No IP policy apart from their N7-related initiatives. Their most recent corporate responsibility report speaks about engaging with local groups to monitor factory conditions, but no mention of IP. Indigenous Participation in Core Business Model: The N7 is a shoe designed for Native Americans and Aboriginal Canadians. Nike also has a Native American business manager (see http://nikeinc.com/news/nike-native-american-business-honored-for-special-programs-product) and a fund associated with the N7 for investing in Native American/Aboriginal Canadian initiatives. For further information on the development of the N7, see http://www.nytimes.com/2007/10/03/business/worldbusiness/03nike-nike.1.7730175.html .	
Corporate Citizenship Guideline #4: Human Rights	Novartis International AG	Switzerland	http://www.novartis.com/downloads/corporate-responsibility/resources/CC_guideline4_human_rights_en.pdf	Corporation	Code	Corporate	International	N/A	N	N/A	N	Y	N	N/A	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Statement of commitment to IP rights protection and brief mention of IP in non-discrimination policy. They also have a lot of CSR internal codes of conduct, monitoring, and social investments, but nothing mentioning IP.	
Investment Approach - Indigenous Peoples Welfare	Pax World Investments	US	http://www.paxworld.com/investments/economics/sustainability-research/key-issues/brief-on-indigenous-peoples-welfare	Corporation	Guideline	Corporate	International	N/A	N	N/A	Y	Y	N (but mentions "prior informed consent" with respect to indigenous test subjects for pharmaceutical trials)	N/A	N	N	N	N	N	N	Y	N	N	N	N	N	N	N	N	Pax states that it "favors" companies with policies and programs to protect IP rights and traditional land use, and "generally avoids" investing in companies involved in IP-related controversies. Impact Assessment: Prior to investing, they evaluate "a company's indigenous relations programs, policies and performance".	
N/A	Pfizer	US	No IP policy	Corporation	N/A	Corporate	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
N/A	PWC	US	No IP policy	Corporation	N/A	Corporate	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
Action Plan for Applying Repsol's Policy on Relations with Indigenous Communities in Peru and Bolivia	Repsol	Spain	http://www.repsol.com/materias/es_english_plan_with_indigenous_communities_in_Peru_and_Bolivia_tcm11-813370.pdf	Corporation	Code	Corporate	Peru and Bolivia	Peruvian and Bolivian IP	N	N/A	Y	Y	N	Moderate	Y	N	Unclear	Unclear	Unclear (doubtful)	N	Unclear	Y	Unclear	Y	Y	N	Y	Y	Y	Contribution to Local Development: Repsol's documents state that, in connection with their activities, they will create a Social Investment Plan for the affected community, however, no specifics are given. Grievance Mechanisms: On the project site they plan to create a "physical channel" for receiving complaints and suggestions. Complaints will be acknowledged in writing. Involvement with Developing Standard: External group of experts, including Internorm Odram, was involved in creating this policy.	
Indigenous Community Relations Policy - IRIECA - Case Study - Repsol	Repsol	Spain	http://www.repsol.com/es_en/corporacion/responsabilidad_corporativa/modeo-responsabilidad_corporativa/politicas_posicionpolitica-comunidades-indigenas.aspx , http://www.repsol.com/es_en/corporacion/responsabilidad_corporativa/comunidades/comunidades-indigenas/ , http://repsol.webdta.com/themas/2010/temas/comunidades/CorporatividadReposlReposlDesarrollamosnuestroPoderesPrioritariosMateriaDearechosHumano , http://repsol.webdta.com/themas/2010/downloadfiles/definibamoscomunidades.pdf , http://www.repsol.com/es_en/corporacion/responsabilidad_corporativa/comunidades/comunidades-indigenas/relaciones-comunidades-diologos-consultas-acuerdos.aspx , http://www.repsol.com/es_en/corporacion/responsabilidad_corporativa/comunidades/diologos-comunidades-mecanismos-expressar-quejas-sugerencias.aspx , http://www.repsol.com/es_en/corporacion/responsabilidad_corporativa/fundaciones/fundacion-reposl-sit-ecuador/proyectos.aspx , http://www.irieca.org/system/files/publications/1_reposl.pdf	Corporation	Code	Corporate	International	N/A	N	N/A	Y	Y	Y	N/A	Y	N	Y	Y	Unclear	Unclear (doubtful)	Y	Y (cultural heritage, health)	Y	Unclear	Y	Y	N	Y	Y	Y	Fairly extensive IP policy. IP rights are defined as a "priority human rights issue". Employment and Equity: Repsol does not have a detailed policy for employing IP or giving them equity, but their policy on IP says Repsol recognizes "the right of IP to participate, wherever possible, in the benefits generated by activities to exploit the hydrocarbon resource existing in their lands". Involvement with Developing Standard: An external group of experts, including Internorm Odram, was involved in establishing their main policy. Repsol claims to work with affected indigenous communities to develop policies with respect to specific projects. Grievance Mechanisms: Repsol has 24-hr telephone helplines in Spain and there are internal protocols for receiving, recording and dealing with complaints. Generally, different mechanisms appear to be in use in different project locations.
The Way We Work - Our Global Code of Business Conduct (2009); Communities Policy; Communities Standard 2011; Communities Standard and Policy; Human Rights Guidance; Sustainable Development 2011 - Communities, Why Cultural Heritage Matters	Rio Tinto	UK/Australia	http://www.riotinto.com/documents/The_way_we_work.pdf , http://www.riotinto.com/documents/Communities_standard.pdf , http://www.riotinto.com/SustainableDevelopment/communities/CommunitiesStandard.pdf , http://www.riotinto.com/documents/Reports/Publications/commP44-HumanRights.pdf , http://www.riotinto.com/sustainabledevelopment/2011/socialcommunities.html , http://www.riotinto.com/documents/Reports/Publications/Rio_Tinto_Cultural_Heritage_Guide.pdf	Corporation	Code	Corporate	International	N/A	Y (see Communities Standard 2011)	N	N	Y	Y (strive to achieve the free and informed consent of IP)	N/A	Y	N	Y	Y	N	Y	Y (cultural heritage, health, economic development)	Y (Baseline Community Assessments)	N	Y	Y	N	Y	N	Y	Extensive IP policy. Rio Tinto has committed to complying with ILO Convention No. 169, the UN Declaration on the Rights of IP (in jurisdictions that have signed the Declaration), and a variety of ICMM and other international standards. They also claim to follow IFC guidelines on involuntary resettlement and land acquisition. They sign agreements with IP "wherever those groups have recognised legal rights or interests consistent with a business' interests". Employees on project sites get cultural awareness training. They form 5-yr community plans for all operations (these provide guidance on dealing with IP). Cultural Heritage: Why Cultural Heritage Matters is an extensive guide to management of cultural heritage. Level of Consult: They consult early and continuously, but their policies are vague. Equity: They don't give equity, but do provide compensation. Grievance Mechanism: They say mechanisms should be put in place to deal with community complaints (Communities Standard and Policy).	

Source Name	Source Author	Author's Jurisdiction	Link to Source	Source Group	Type of Source	Geographic Region	Indigenous Group	Indigenous People Defined?		Policy Aimed Exclusively towards Indigenous Issues?	Industry Specific?	FPIC referenced?		Promotes Sustainable Environmental Practices?	Promotes Women's Participation/Gender Equality?	Contribution to Local Development				Impact Assessment?	Community Engagement			Monitoring			Comments					
								Y/N	Derived from external source?			Y/N	If not, level of consult?			Education	Employment	Equity Participation	Contribution Independent of Transactions?		Other	Involved with Developing Standard?	Early Stage Engagement	Grievance Mechanism	Indigenous Participation in Core Business Model	Ongoing?		By Independent 3rd Party?	By Internal Party?			
Aboriginal Policy and Programmes in Australia: Rio Tinto Aboriginal Fund (about the Fund, Aboriginal Relations); Community Investment WA - Our Priorities: Our 2010 Community Contributions; Rio Tinto Indigenous Employment in Australia; 2010 Annual Report - Social Wellbeing; Social Investment - Working with Australian Communities; UWA and Rio Tinto to Establish Chair in Pilbara Rock Art	Rio Tinto Australia	UK/Australia	http://www.rtiointo.com/documents/Reports/Publications/RT_Polic_vans/Prog.pdf ; http://yo018.wic00y.server-web.com/ ; http://yo018.wic00y.server-web.com/relations.asp?Q=4 ; http://www.crea.rtiointo.com/ny/cronos.aspx ; http://www.crea.rtiointo.com/media/562837he%26a%520cidur%262021.pdf ; http://www.rtiointo.com/documents/Reports/Publications/Rio_Tint_o_Indigenous_Booklet.pdf ; http://www.rtiointo.com/annualreport2010/performance/social_wa.html ; http://www.rtiointo.com/documents/Reports/Publications/Rio_Tint_o_Social_Investment.pdf ; http://www.rtiointo.com/documents/UWA_and_Rio_Tinto_to_establish_Chair_in_Pilbara_Rock_Art.pdf	Corporation	Code	Corporate	Australia	Australian IP	N (uses term Aboriginal)	N	Y	Y	N (use term "fully informed consent")	Moderate/Extensive	Y	N	Y	Y	N	Y (Rio Tinto Aboriginal Fund)	Y (cultural heritage, health, economic development, youth)	Y (Baseline Community Assessments)	Y (re: process of consultation only)	Y	Unclear	N	Unclear	Unclear	Unclear	Extensive employment and training policy for Aboriginals. Contribution to Local Development: The Rio Tinto Aboriginal Fund (closed in 2011) operated independently of the company and annually provided a significant amount of money to various initiatives (A\$1.8m in 2011). For more information about the Fund, see above Why Cultural Heritage Matters . They invested in development of the Indigenous Stock Exchange, among a variety of things. Monitoring: There are no separate policies requiring monitoring, but Rio Tinto's global policies call for it. NOTE: While not included in this spreadsheet, Rio Tinto has a selection of separate policies re: IP for its Argyle Diamond Mines operations in Western Australia (see http://www.argylediamonds.com.au/community_investment.html). These policies are extensive re: land use, employment of IP and community investment. NOTE: Rio Tinto's global policies apply to Rio Tinto Australia operations as well.		
Aboriginal Relationships; Access to Land; Land Use Agreement Compliance; Heritage and Culture; Heritage Management; National Heritage Values; Indigenous Employment (Community) Consultation; Conservation Agreement - National Heritage Values of the Dampier Archipelago; Hope Downs 4 Iron Ore Project - Environmental Management Plan; 2010 Sustainable Development Report	Rio Tinto Iron Ore	UK/Australia	http://www.rtiointoironore.com/ENG/communities/34_indigenous_relationships.asp ; http://www.rtiointoironore.com/ENG/communities/365_land_access.asp ; http://www.rtiointoironore.com/ENG/communities/458_land_use_agreement_compliance.asp ; http://www.rtiointoironore.com/ENG/communities/365_heritage_and_culture.asp ; http://www.rtiointoironore.com/ENG/communities/2061_heritage_management.asp ; http://www.rtiointoironore.com/ENG/communities/2061_national_heritage_values.asp ; http://www.rtiointoironore.com/ENG/careers/183_indigenous_employment.asp ; http://www.rtiointoironore.com/ENG/communities/34_community_consultations.asp ; http://www.rtiointoironore.com/ENG/communities/459_cultural_heritage.asp ; http://www.rtiointoironore.com/documents/RTIO_ConservationAgreement.pdf ; http://www.rtiointoironore.com/documents/HD4_Operational_EH_P.pdf ; http://www.rtiointoironore.com/documents/Iron_Ore_WA_SD_Report_2010_FINAL.pdf	Corporation	Code	Corporate	International	N/A	N (uses term Aboriginal)	N	Y	Y	Y	Moderate/Extensive	Y	Y (emphasis on female indigenous employment)	Y	Y	Y	N	Y (cultural heritage, economic development)	Y (Baseline Community Assessments)	Y (re: process of consultation only)	Y	N	N	Y (Aboriginal Cultural Heritage/National Heritage Values; otherwise, unclear)	N	N	Y	Y	Cultural Heritage: Extensive. They have a Cultural Heritage Management System, an Aboriginal Heritage Programme, and an Aboriginal Cultural Heritage Team. Level of Consult: Binding Initial Agreements settle an amount of compensation and assert a mutual intention to create an Indigenous Land Use Agreement. The Eastern Gurus Indigenous Land Use Agreement took 6 years of extensive consultation and negotiation to conclude. Employment: They have a very developed series of education programs, pre-employment training programs, and scholarship and cadetship programs. Indigenous with Developing Standard: They formulate "community plans" which define the parameters and processes of community consultation. Those plans are developed in cooperation with IP. NOTE: Rio Tinto's global policies also apply to Rio Tinto Iron Ore.
Indigenous People - At a Glance; Indigenous People - Formulating a Development Plan; Sakhalin Indigenous Minorities Development Plan (SIMDP) 1; SIMDP 2; Consultation Activity in 2012; SIMDP - 1st External Monitor Report (January 2007); Overview of Environmental, Social and Health Impact Assessments; Statement of Consent for SIMDP 2	Sakhalin Energy Investment Company Ltd.	Russia	http://www.sakhalinenergy.ru/en/default.asp?ip=aag_main&n=7 ; http://www.simdp.ru/eng.php?4=34 (SIMDP homepage); http://www.sakhalinenergy.ru/en/documents/doc_leader_soc_4.pdf (SIMDP 1 full plan); http://www.sakhalinenergy.ru/en/documents/ENG_SIMDP_BROC_HURE.pdf (SIMDP brochure); http://www.simdp.ru/eng.php?id=8&pid=2 (SIMDP general status); http://www.simdp.ru/eng?id=1&pid=3&pid=2 (SIMDP 2 homepage); http://www.sakhalinenergy.ru/en/documents/SIMDP_2_eng.pdf (SIMDP 2 full plan); http://www.sakhalinenergy.ru/en/default.asp?ip=channel&=4&n=396 ; http://www.sakhalinenergy.ru/en/default.asp?ip=channel&=4&n=373 ; http://www.sakhalinenergy.ru/doc/en/180/External%20Monitor%20Report_comments_eng.pdf ; http://www.sakhalinenergy.ru/en/documents/doc_38_executive.pdf ; http://simdp.com/uploads/files/Statement%20of%20Consent%20for%20SIMDP%20in%202007%20A.pdf NOTE: There are a number of other IP-related documents on the Sakhalin website, including more Social Impact Assessment documentation, Resettlement Action Plans, Social Performance Reports, and minutes of meetings with residents (see http://www.sakhalinenergy.ru/en/library.asp?ip=lib_social_shef&lib_social_documents).	Corporation	Guideline	Corporate	Sakhalin Island (Eastern Russia)	Nivkhi, Ulta, Evenki, and Nanaï	Y	Y (Russian Federation legislation, World Bank and IFC definitions of both IP and "Indigenous Minorities")	Y	Y	Y (SIMDP claims to "take into account" FPIC in consultations; N/A generally, level of consultation is very extensive)	N	Y (Sakhalin IP)	N	Y	Y	N	N (projects all focused on Sakhalin IP)	Y (cultural heritage, economic development, health)	Y (SAs, ESHAs)	Y (affected communities were involved in development of SIMDP)	Y	Y	N	Y	Y	Y	Y	Very extensive IP policy. The SIMDPs (1 and 2) are very detailed. They have regulatory bodies and a general statute, and set up multiple social programs and involve extensive independent monitoring. Sakhalin translated the UN Declaration of Human Rights into a local indigenous language (Nivkhi). Level of Consult: They engage in regular consultations with stakeholders about IP, and with IP leaders and IP organizations and enterprises. They also distribute materials to IP about the project, including info re: grievance procedures, and have public community meetings and work extensively with RAPON and the Sakhalin Indigenous Minorities Council. They signed a Memorandum of Understanding with the affected indigenous communities. They consulted with outside experts from various IP NGOs (from other parts of the world as well) and established a Working Group of IP to help develop SIMDPs. Contribution to Local Development: They've set up a main fund under the SIMDP to contribute a certain amount to IP per annum, as well as a mini fund to be administered by an advisory body of IP. They aim for 90% of all IP-designated funds to eventually be managed by IP. Employment: They set up a Traditional Economic Activities Support Programme to promote employment and business development. Equity: They don't give equity but work to ensure IP obtain benefits from the project. Monitoring: An independent external monitor reviews the progress of the SIMDP (for their reports, see http://www.sakhalinenergy.ru/en/default.asp?ip=channel&=4&n=160). NOTE: Gazprom, Shell, Mitsui and Mitsubishi are shareholders in Sakhalin (Gazprom may have sold its interest). The SIMDP has received Letters of Honours from the biggest Russian IP organization, has been characterized as a "model of good practice" by the IFC, and received high ratings from the EBRD.	
New Aboriginal Engagement Policy: Procedure for the Management of Indigenous Cultural Heritage Sites; Indigenous Cultural Heritage Assessment (GLNG Project)	Santos	Australia	http://www.santos.com/blog/article/2011/02/26/IAEP.aspx ; http://www.santos.com/files/santos_cultural_heritage_handbook1.pdf ; http://www.santos.com/blog/category4.aspx ; http://www.santos.com/blog/article/2010/03/16/36.aspx ; http://www.santos.com.au/About/ES/Section/007/007/001/2007/001%20Handbag%20Section/207/131%20FINAL%20PUBLIC%20.pdf	Corporation	Guideline	Corporate	International	Australian IP	N (uses term Aboriginal)	N/A	Y	Y	N	Unclear (substantial consultation re: cultural heritage, otherwise, unclear)	Y	N	Y	Y	N	Y	Y (cultural heritage, health, economic development)	Y (EIA; Indigenous Cultural Heritage Assessments)	Unclear	Y (re: cultural heritage)	N	N	Y	Y	Y	Y	Cultural Heritage: Very extensive policy. They have a Principal Adviser of Indigenous Affairs and a Team Leader for Cultural Heritage. They also held a cultural heritage workshop together with an NGO (Iron Group), conduct Cultural Heritage Assessments (see link to GLNG assessment), have Cultural Heritage Management Plans for projects, and have a detailed handbook on procedures for managing cultural heritage sites (it cites Australian legal requirements, standard procedures, etc.). New Aboriginal Engagement Policy: The website gives an overview/summary of this policy, but there is an underlying policy document that they don't provide on the website. We may want to contact Santos to acquire the policy. Equity: They put together Native Title arrangements (per Australian statutory requirements) to acquire access to the land, but those probably don't grant equity. Monitoring: Monitoring and audits of cultural heritage management are done (as stated in their Environmental Policy attachment to the indigenous heritage management handbook).	
Sustainability Report 2011	SCA	Sweden	http://www.sca.com/Documents/en/Env_Reports/sca_sustainability-report-2011_indexed.pdf	Corporation	Code	Corporate	International	N/A	N	N/A	N	IP rights is one of many sustainability targets)	Y	N	Unclear	Y	N	N	N	N	N	N	N	N	N	Y	Y	N	N	As part of its Sustainability Target 4, SCA claims that it will "achieve and maintain (its) target of zero fresh fibre-based material from controversial sources"; controversial sources include those in areas where traditional rights of human rights of IP are being violated. SCA currently has 100% control of the origins of wood fibre and pulp, while 95% of content/board is derived from controlled sources. SCA expects to achieve 100% in 2012. Forest Stewardship Council: SCA's control of the origins is implied from the fact that all of its mills using fresh wood fibre are FSC Chain-of-Custody certified. Being FSC COC certified means that FSC's Principles and Criteria have been met re: COC. Principle 3 is the recognition and respect of IP's rights. Monitoring: Certification is given by a certification body accredited by the FSC. Certificates are valid for 5 years and the certification body conducts annual surveillance audits.		
2010 Sustainability Report: Social Responsibility - Supply Chain	Shangri-La Hotels and Resorts	Hong Kong	http://www.sbangri-la.com/relatedFiles/corporate/about_us/csr_2011/Shangri-La%20Asia%202010%20Sustainability%20Report.pdf ; http://www.sbangri-la.com/corporate/about-us/social-responsibility/supplychain	Corporation	Regulation	Corporate	International	N/A	N	N/A	N		Y	N	N/A	Y	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	No comprehensive IP policy. They mention that there have been no incidents in which the rights of IP were compromised. Employment: They claim to prefer local community-based suppliers and eco-friendly products.	
Working with Indigenous People: Impact Assessment of Our Activities; Shell Canada Limited Statement of Principles of Aboriginal Affairs; Report on Royal Dutch Shell PLC & Oil Sands	Shell (Royal Dutch Shell plc)	Netherlands	http://www.shell.com/static/innovations/downloads/sectors/working_with_indigenous_people.pdf ;																													

Source Name	Source Author	Author's Jurisdiction	Link to Source	Source Group	Type of Source	Scope	Geographic Region	Indigenous Group	Indigenous People Defined?		Policy Aimed Exclusively towards Indigenous Issues?	Industry Specific?	FPIC referenced?		Promotes Sustainable Environmental Practices?	Promotes Women's Participation/Gender Equality?	Contribution to Local Development					Impact Assessment?	Community Engagement			Monitoring			Comments					
									Y/N	Derived from external source?			Y/N	If not, level of consult?			Education	Employment	Equity Participation	Contribution Independent of Transactions?	Other		Involved with Developing Standard?	Early Stage Engagement	Grievance Mechanism	Indigenous Participation In Core Business Model	Ongoing?	By Independent 3rd Party?		By Internal Party?				
Engaging Communities: Human Rights; Grievance Mechanisms; Early-Phase Risk Assessment; Integrated Impact Assessments; Country Risk Assessments; Stakeholder Engagement, Supplier Declaration; Statoil Canada Ltd - Industrial Development & Aboriginal Communities; Oil Sands - Environmental Impact: Final Report - EIA Drilling (Jakarta, Indonesia) ("Indonesian EIA")	Statoil	Norway	http://www.statoil.com/annualreport2010/en/Sustainability/ManagingOurRisksAndImpacts/StakeholderEngagement/Pages/EngagingCommunities.aspx , http://www.statoil.com/annualreport2010/en/sustainability/society/humanrights/pages/humanrights.aspx , http://www.statoil.com/annualreport2010/en/sustainability/society/humanrights/pages/grievancemechanisms.aspx , http://www.statoil.com/annualreport2010/en/sustainability/managedocumentationandimpact/pages/early-phase-risk-assessment.aspx , http://www.statoil.com/annualreport2010/en/sustainability/managedocumentationandimpact/pages/integrated-impact-assessments.aspx , http://www.statoil.com/annualreport2010/en/sustainability/managedocumentationandimpact/pages/country-risk-assessments.aspx , http://www.statoil.com/annualreport2010/en/sustainability/cases/studies/OurCanadianOilSands/Pages/AssessingOurImpactsInNorthenAlberta.aspx , http://www.statoil.com/en/OurOperations/Procurement/Download.aspx?file=20Declaration.pdf , http://www.oilfield.com/oilfields/Files/veritas/Alberta%202011RIan-Battle-Share.pdf , http://www.statoil.com/AnnualReport2010/en/sustainability/Cases/studies/OurCanadianOilSands/Pages/AssessingOurImpactsInNorthenAlberta.aspx , http://www.statoil.com/no/About/Worldwide/Indonesia/Downloads/Impact%20Assessment%20Karamah%20Book.pdf	Corporation	Code	Corporate	International	N/A	Y (in Indonesian EIA; Canadian documents use Ministerial Decree term Aboriginal)	Y (Indonesian EIA uses World Bank definition and Indonesian Ministerial Decree definition of "isolated communities")	N	Y	Y (Free, prior and informed consultations should be held in order to facilitate effective participation" of IP)	N/A	Y	N	Y	N	Y	Y	N	N	N	Y (economic development, health)	Y (Early Phase Risk Assessments, Integrated Impact Assessments)	N	Y	Y	N	Y	N	Y	N (auditing appears only to occur for corporate governance and environmental impacts)	Extensive IP policy. Often their policies speak about engaging with local communities – they don't use IP terminology – but it seems they are referring, in part, to IP (e.g., with respect to consultation). Their Supplier Declaration includes a statement that the practices of IP and their relationship with affected lands should be respected. Level of Consult: They engage in public consultations, surveys, interviews, town hall meetings, and community panels. Contribution to Local Development: The Indonesian EIA lists several forms of contribution (health, education, employment, etc.). Employment: They support the development of local enterprises and a skilled local workforce. They invest in a Local Opportunity Centre in Alberta. Equity: They facilitate sharing development benefits with IP, but don't give them equity. Grievance Mechanism: The grievance mechanisms they have are to deal with all "ethical concerns" including human rights issues, one of which is defined as IP rights. NOTE: Statoil Canada is a member of the Oil Sands Developers Group, which has an Aboriginal Affairs Committee (http://www.oilsandsdevelopers.ca/index.php/about-osdg/committees/aboriginal-affairs-committee/).
Principles for Wood and Fibre Procurement; Global Responsibility - Stora Enso Sustainability Report 2010	Stora Enso	Finland	http://www.storaenso.com/responsibility/our-approach/policies/Documents/Principles%20for%20Wood%20and%20Fibre%20Procurement_2011.pdf , http://www.storaenso.com/media-centre/publications/global-report/Documents/Stora_Enso_Sustainability_Report_2010_E_A.pdf	Corporation	Code	Corporate	International	N/A	Y	Y (UN Working Group on Indigenous Peoples)	N	Y	N	Unclear	Y	N	Y	Y (brief mention; no guidelines/ policy)	N	N	N	N	Y (ESIA)	Y	N	Y	Y	N	Y	Y	Monitoring: They conduct "sustainability audits" on selected suppliers and they planned to make self-assessments a requirement for certain materials suppliers and logistics service providers as of 2011 (unclear whether that happened). Guidance: Mechanism: There is mention of a hotline for local communities to express grievances, and there is a grievance mechanism for breaches of the Code of Conduct (the Principles for Wood and Fibre Procurement are part of the broader Code).			
Global Community Relations Policy; Aboriginal Relations; Aboriginal Relations Guidelines; Community - Stakeholder Consultations; Community - Community & Stakeholder Relations; Consultation Process; 2010 CSR Report (full report); 2010 CSR Report - Communities	Talisman	Canada	http://www.talisman-energy.com/uploaded/doi/Files/10417493%20-%20GLOBAL%20COMMUNITY%20RELATIONS%20POLICY%20-%202009%20-%202010%20-%2015%20-%2011%20.pdf , http://www.talisman-energy.com/responsibility/stakeholder-consultation/aboriginal-in-personal-relations.html , http://www.talisman-energy.com/operational/latin_america/ben/community_and_stakeholder_engagement/local_communities.html , http://www.talisman-energy.com/upload/importan_files/103tabo_guidelines.pdf , http://www.talisman-energy.com/responsibility/stakeholder-consultation/ , http://www.talisman-energy.com/operational/latin_america/ben/community_and_stakeholder_engagement/local_communities.html , http://www.talisman-energy.com/upload/report_files/1910%20lat_or_final.pdf , http://www.talisman-energy.com/2010communities/	Corporation	Guideline	Corporate	International	N/A	N	N/A	Y	Y	Y	N/A	Y	N	Y	N	Y	Y	N	Y	Y	Y (cultural heritage, youth, elders, economic development, health)	Y (ESIA)	Y	Y	Y	N	Y	Y	Y	Extensive IP policy. Talisman often speaks about "communities" or "local communities" when referring to groups including IP. They acknowledge the UN Declaration on the Rights of IP. They also engage in significant ongoing communication with IP throughout their projects, keeping them up to date on the projects, potential impacts, etc. FPIC: They extensively address this issue. Level of Consult: Talisman engages in extensive consultation and cooperated in the making of a documentary about its consultation process with an Aboriginal group in Canada (see Aboriginal Relations). Talisman has worked with regulators to enhance the Alberta First Nations Consultations Guidelines. Employment: They try to hire IP owned and operated businesses. Independent of Project: They support local, regional and national Aboriginal initiatives in Canada. Equity: They don't give equity, but they give "fair" compensation when using IP's land. Monitoring: It seems to be conducted in conjunction with environmental audits.	
Charter of Principles and Guidelines Regarding Indigenous and Tribal Peoples; Society and Environment Report 2010; Establishing and Maintaining Dialogue: Corporate Social Responsibility	Total SA	France	http://www.total.com/MEDIAS/MEDIAS_INFOS/3537/EN/ChartePrincipes_etDirectives_en.pdf , http://www.total.com/MEDIAS/MEDIAS_INFOS/4540/EN/Total-2010-CSR-en-2.pdf , http://www.total.com/en/our-challenges/our-vision-for-the-future/development-our-resources/sustainable-development-and-maintaining-dialogue-201000.html , http://www.total.com/en/investors/corporate-social-responsibility/46074.html	Corporation	Guideline	Corporate	International	N/A	Y	Y (World Bank and UNDP)	Y	Y	N	Moderate/Extensive	Y	N	Y	Y	Y	N	N	N	Y (economic development, cultural heritage, infrastructure)	Y (ESIA)	N	Y	N	N	Y	Unclear	Y	Y (cultural heritage, youth, elders, economic development, health)		
Honoring Native American Foodways	Unilever	UK/Netherlands	http://www.unilever.com/news/2010/unilever.htm	Corporation	Investment	Corporate	US	Native Americans	N	N/A	N	N	N	N/A	N	N	N	N	N	N	Y	Y (health)	N	N	N	N	N	N	N	N	No IP policy. Unilever has one investment that focuses on improving Native American health and nutrition.			
Code of Ethics; Human Rights Core Policy	Weyerhaeuser	US	http://www.gaweyerhaeuser.com/pdfs/sustainability/Code_of_Ethics_8n_Ed.pdf , http://www.weverhaeuser.com/Sustainability/People/Employees/HumanRights	Corporation	Code	Corporate	International	N/A	N	N/A	N	Y	N	N/A	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	N	Very brief mention of IP rights in Human Rights Core Policy and Code of Ethics.			
Weyerhaeuser and Indigenous Peoples: A Policy and Framework for Building Relationships; Canada's Aboriginal Peoples and Weyerhaeuser	Weyerhaeuser	US	http://www.weverhaeuser.com/pdfs/sustainability/WYAndIndigenouPeoples.pdf , http://www.weverhaeuser.com/pdfs/sustainability/AboriginalEnglch.pdf	Corporation	Code	Corporate	International	N/A	N (uses term Aboriginal)	Y (IFC, see FN1)	Y	Y	Y	N/A	Y	N	Y	Y	N	Y	Y	Y (cultural heritage, health, social services)	Y	N	Y	Y	N	Y	Y	Y	Extensive IP policy. They provide a chart of best practices, as defined by the Canadian government, versus Weyerhaeuser's policies. They incorporate the CSA's policies. Impact Assessments: They clearly consult with IP before implementing projects and, with respect to certain projects, do potential impact assessments. However, there is no evidence of a formal social impact assessment process. Monitoring: The policy for Canadian operations requires monitoring, but no such global policy was found.			
Supplier Diversity Program	Wyndham Worldwide	US	http://www.wyndhamworldwide.com/corporate-responsibility/diversity/supplier-diversity	Corporation	Regulation	Corporate	International	Native Americans	N	N/A	N	Y	N	N/A	N	N	N	Y	N	N	N	N	N	N	N	N	N	N	N	N	Wyndham has a Supplier Diversity Program. It aims to maximize contracting opportunities to minority-owned businesses, including those owned by Native Americans. No other IP policies.			
Native Title Act of 1993	Australian Government	Australia	http://www.austlii.edu.au/au/other/auofai/other/act/na1993/147/	Government	Code	National	Australia	Aboriginals	N	Defines "Aboriginal People"	Y	N	N	Parties must consent to a future act relating to title transfer; all details of the agreement must be registered with the Register of Indigenous Land Use Agreements	N/A	N/A	N/A	N/A	N/A	N/A	N/A	None announced in this law	N/A	N/A	N/A	Yes, through federal courts and mediation	N/A	N/A	N/A	N/A	The Act quite extensively lays out aboriginal title rights to land in a variety of circumstances, past and future, and additionally sets up a robust system of validating and challenging any title transfers			
Brazilian Constitution	Brazilian Government	Brazil	http://www.wipo.int/wipolex/text.jsp?file_id=219270	Government	Code	National	Brazil	N/A	N	Refers only to "Indians"	N	N	N	N/A	N	Yes, although not specific to indigenous peoples	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Article 231 applies to Indian rights, and provides that Indians cannot be deprived of the right to occupy and exploit the riches of the lands on which they reside, unless the Brazilian government passes a supplementary law to allow it.			
Colombian Constitution	Colombian Government	Colombia	http://confinder.richmond.edu/admindocs/colombia_const.pdf	Government	Code	National	Colombia	N/A	N	N/A	N	N	N	Article 330: The government will encourage participation of the indigenous communities with respect to decisions to exploit natural resources in indigenous territories	Yes - Article 330, paragraph 5	Not specific to indigenous peoples	N/A	N/A	N/A	N/A	Indigenous people tasked with promoting public investments in their territories and supervising use of lands	N	N/A	N/A	N/A	N/A	N/A	N/A	Article 330 applies to land rights of indigenous peoples					
Support to Indigenous Peoples	Danish Ministry of Foreign Affairs	Denmark	http://img.um.dk/ctr/donlyres/09bb6684-3752-42c1-add2-ed6c-83b7fab0-03/strategyfordanishsupporttoindigenouspeople.pdf	Government	Guideline	National	Denmark	All indigenous peoples	N	Observes that there is no single international definition, and that a single definition is unnecessary to carrying out support to the indigenous people. The Y guideline does cite ILO and UN Special Rapporteur's definitions as examples of characteristics that may define IPs	Y	N	Y	N/A	Y	Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	The guideline announces Denmark's support of international indigenous peoples through collaboration with international organizations and goal-setting to encourage IP rights. The guideline is a broad overview of issues to consider, although does not include much in the way of concrete action items.			

Source Name	Source Author	Author's Jurisdiction	Link to Source	Source Group	Type of Source	Scope	Geographic Region	Indigenous Group	Indigenous People Defined?			Policy Aimed Exclusively towards Indigenous Issues?	Industry Specific?	FPIC referenced?		Promotes Sustainable Environmental Practices?	Promotes Women's Participation/Gender Equality?	Contribution to Local Development				Impact Assessment?	Community Engagement			Monitoring			Comments		
									Y/N	Derived from external source?	Y/N			If not, level of consult?	Education			Employment	Equity Participation	Contribution Independent of Transactions?	Other		Involved with Developing Standard?	Early Stage Engagement	Grievance Mechanism	Indigenous Participation in Core Business Model	Ongoing?	By Independent 3rd Party?		By Internal Party?	
Constitution of the Republic of Ecuador	Ecuadorian Government	Ecuador	http://www.mmrree.gob.ec/bol_eaetor/constit_eng.pdf	Government	Code	National	Ecuador	N/A	N	N/A	N	N	Y	N/A	Yes, advocates indigenous participation in conservation of resources	Y	N/A	N/A	N/A	N/A	Indigenous people have the right to participate in profits earned from projects with impacts upon them and to receive compensation for any social, cultural or environmental damages caused to them	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Chapter Four, Articles 56-60 apply to indigenous peoples		
The Scheduled Tribes and Other Traditional Forest Dwellers (Recognition of Forest Rights) Act, 2006	Indian Government	India	http://www.tribe.org/content/e0618.pdf	Government	Code	National	India	Forest Dwellers	N	N/A	Y	N	Y	N/A	Yes, women are to have full and unrestricted participation in the village assemblies making decisions as to land use	Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Y	N/A	N/A	N/A	N/A	This Act only pertains to Forest Dwellers, and has come under some criticism that it does not go far enough to protect indigenous rights.		
Norway's Efforts to Strengthen Support for Indigenous Peoples in Development Cooperation	Norwegian Ministry of Foreign Affairs	Norway	http://www.norad.no/en/books-and-publications/publications/publication/?key=109549	Government	Guideline	National	Norway	All indigenous peoples	Y	References ILO Definition	Y	N	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	The guideline announces Norway's support of international indigenous peoples through collaboration with international organizations and goal-setting to encourage IP rights. The guideline is a broad overview of issues to consider, although does not include much in the way of concrete action items. An example of Norway's dedication to indigenous rights is the Rainforest Foundation of Norway, which, inter alia, seeks to ensure indigenous rainforest dwellers have the right to manage their natural resources.		
Bill on the Right of Previous Consultation to Indigenous or Aboriginal Peoples Acknowledged in ILO Covenant 169	Peruvian Government	Peru	N/A	Government	N/A	National	Peru	N/A	Y	N	Yes, proposed bill pertains only to indigenous or native peoples	N	No (although may have been lost in translation)	The state has an obligation to provide all information for informed decision	N/A	N	N	N	N	N/A	Y	N/A	Y	N/A	Y	Yes - parties may make grievances before the executive branch, and ultimately before the courts	N/A	N/A	By government agency	This bill was translated from Spanish into English, and so some of the meaning may have been lost. It provides that native and/or indigenous peoples have a right to be consulted in advance on legislative or administrative projects that directly affect their collective rights or quality of life. The bill indicates that the right to consultation also applies to projects of national and regional development, however, it is not clear whether this applies exclusively to government development projects, or extends to corporate development projects as well.	
Indigenous Peoples Rights Act of 1997	Philippine Government	Philippines	http://www.wipo.int/wipolex/en/details.jsp?id=5755	Government	Code	National	Philippines	N/A	Y	Includes the ILO definition as a portion of the definition, but is more extensive	Y	N	Y	N/A	IPs have an obligation to maintain the ecological balance of their ancestral lands	Y	Gov't ensures IP access to government's basic services, including education	Gov't ensures that IPs are not discriminated against w/ to employment	N/A	N/A	N/A	N/A	N/A	Right to redemption of land is transferred without FPIC	N/A	Formulation of National Commission on IPs, as primary government agency responsible for ensuring that the rights of IPs as set forth in the act are protected	N/A	N/A	N/A	Sec. 17: IPs shall participate in the formulation, implementation and evaluation of policies, plans and programs for national, regional and local development which may directly affect them.	
ILO Convention 169, Indigenous and Tribal Peoples Convention (1989)	International Labour Organization	Switzerland	http://www.ilo.org/dex/col-lex/cvonly_e/C169	International Organization	Code	International	International, ratified by 22 states: http://www.ilo.org/dex/cgi-bin/acta/c169	N/A	N	N	Yes, proposed bill pertains only to indigenous or native peoples	Y	Y (elements are all there)	N/A	Y	Y	Y, protection of members of the peoples concerned have the opportunity to work belonging to these peoples to the extent not effectively protected by generally applicable laws	Y, protection of ownership rights in lands	Not project-specific	Health, customs, vocational training	Y, Article 6.3 governments shall ensure impact studies are carried out	Y	Y	Y	Y	N/A	N/A	N/A	N/A	Convention No. 169 is a legally binding international instrument open to ratification, which deals specifically with the rights of indigenous and tribal peoples. Today, it has been ratified by 22 countries. Countries that have ratified the Convention are subject to supervision with regards to its implementation.	
ILO Convention 107, Indigenous and Tribal Peoples Convention (1957)	International Labour Organization	N/A	http://www.ilo.org/dex/col-lex/cvonly_e/C107	International Organization	Code	International	International, ratified by 17 states: http://www.ilo.org/dex/cgi-bin/acta/c107	None specified	Y	N	Y	N	N	N/A	N	N	Y, the right of ownership over the land shall be recognized	Y	Y	N/A	N/A	N	Y	N	N	N/A	N/A	N/A	N/A	This is the predecessor Convention to the 1989 ILO Convention and focuses more on land rights than on a widely applicable set of principles.	
World Bank, Bank Procedure 4.10 - Indigenous Peoples (2005) and World Bank, Operational Policy 4.10, Indigenous Peoples (2005)	World Bank	N/A	http://go.worldbank.org/PQ4KQSDJ0J	International Organization	Guideline	International	International	None specified	Y	N	Y	N	Y, Article 2, BP 4.10	N/A	N, however environmental protection is contemplated	Y	N/A	N/A	Y	N	Intellectual property rights	Y	Y	Y	Y	Project specific	Y	Y	N	Y	World Bank policy includes various levels of assessment, including (1) social assessment, (2) Indigenous Peoples Planning Framework.
European Bank for Reconstruction and Development (EBRD), Environmental and Social Policy (2006)	European Bank for Reconstruction and Development	N/A	http://www.ebrd.com/downloads/research/policies/2006policy.pdf	International Organization	Guideline	International	Europe/EMEA	None specified	Y	N	N	N	Y	N/A	Y	Y	Y	Y	N	N/A	Y	Y	Y	Y	Y	Y	Y	Y	Y, ombudsman or other public institution may be used	Directly addresses compensation to Indigenous Peoples for loss of livelihood.	
European Bank for Reconstruction and Development (EBRD), Indigenous Peoples Guidance Note (2010)	European Bank for Reconstruction and Development	N/A	http://www.ebrd.com/downloads/research/guides/indp.pdf	International Organization	Guideline	International	Europe/EMEA	None specified, focus on Indigenous Peoples of Russia	Y	N	Y	N	Y	N/A	Y, natural resources	N	Y	Y	Y	N	health, natural resources, cultural heritage	Y	Y	Y	Y	Y	Y	Y	Y, general not specified	N/A	Should be read in conjunction with the EBRD's Social and Environmental Policy, elaborates on aspects of PR 7.
Asian Development Bank (ADB), Safeguard Policy Statement (2009)	Asian Development Bank	N/A	http://beta.adb.org/sites/default/files/pub/2009/Safeguard-Policy-Statement-June2009.pdf	International Organization	Guideline	International	Asia/Pacific	None specified	Y	N	N	N	Y	N/A	Y	Y	Y	Y	N	N	customs	Y	Y	Y	Y	Y	Y	N	Project specific	Project specific	References World Bank and UN standards and documents
Asian Development Bank (ADB), Policy on Indigenous Peoples (1998)	Asian Development Bank	N/A	http://www.adb.org/Documents/Policies/Indigenous_Peoples/ADB-1998-Policy-on-IP.pdf	International Organization	Guideline	International	Asia/Pacific	None specified	Y	N	Y	N	N	N/A	consult with existing institutions, local organization and NGOs with expertise	N	N	N/A	N/A	N/A	N/A	Y	N	Y	N	N	Y	N/A	Y	Proposal to create an indigenous peoples policy.	
Asian Development Bank (ADB), Indigenous Peoples/Ethnic Minorities and Poverty Reduction - Regional Report (2002)	Asian Development Bank	N/A	http://beta.adb.org/sites/default/files/pub/2002/indigenous_ind.pdf	International Organization	Guideline	International	Asia/Pacific	None specified	Y	Y, with reference to other ADB documents	Y	N	N/A	N/A	Y	Y	Y	Y	Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Report on poverty and indigenous peoples in the region, not a guideline. Examines experiences in Cambodia, Philippines, Vietnam and Indonesia.		
Inter-American Development Bank (IDB), Operational Policy on Indigenous Peoples and Strategy for Indigenous Development (2006)	Inter-American Development Bank	N/A	http://idbdocs.iadb.org/wsdocs/getdocument.aspx?docnum=2032081	International Organization	Guideline	International	Latin America	Limited to descendants of pre-Colonial populations in Latin America and the Caribbean	Y	N	Y	N	N	N/A	consultation and good faith negotiations with indigenous peoples, early and effective consultation	Y	Y	Y	Y	N/A	health, political participation	Y	Y	Y	N	N	Y	Project specific	Project specific	N/A	
World Commission on Protected Areas: Indigenous and Traditional Peoples and Protected Areas	IUCN Protected Areas Programme	Switzerland	http://data.iucn.org/cbrnw-wpd/docs/PAG-004.pdf	Civil Society	Guideline	International	International	The principles and guidelines are not designed to apply to any specific IP group, but 11 case studies are presented focusing on different groups	N	Uses the definition from ILO Convention 169 (see Annex 3)	These principles, guidelines and case studies respond to WCC Resolution 1.53 on Indigenous Peoples and Protected Areas, adopted at the IUCN World Conservation Congress in Montreal, October 1996	The guidelines and principles on Indigenous Peoples are prepared for the benefit of conservation institutions	Y (see guideline 2.2)	N/A	Yes	Not specifically addressed, but different roles for women noted in some case studies	Need for improved education noted in case studies	Yes (see guideline 4.3)	Principle 1: [IP] should be recognised as rightful, equal partners in the development and implementation of conservation strategies. ...	The principles and guidelines are not associated with any particular project	N/A	Not specifically called for	N/A	N/A	N/A	N/A	N/A	The purpose of this document is to provide policies for protected areas that safeguard the interests of IP and take into account customary resource practices and traditional land tenure systems.			
Aboriginal Peoples	Canadian Association of Petroleum Producers	Canada	http://www.capp.ca/getdoc.aspx?DocID=100984	Civil Society	Guideline	National	Canada	Canadian Aboriginal Communities (Indian, Metis, and Inuit)	N	Uses the term Aboriginal to include Indian, Metis, and Inuit	The information in this guide is based on CAPP's Guide to Effective Public Involvement, but is geared toward involvement with aboriginal communities and formal consultation.	Yes (oil and gas)	N	A key consideration is reaching "mutually acceptable solutions." (See p. 11-12)	Mission of CAPP includes enhancing the ... sustainability of the ... Industry in a/it) ... not possible, environmentally responsible and safe manner.	N/A	N/A	N/A	N/A	Where avoidance of impacting aboriginal communities' traditional uses is not considered in this document, reasonable efforts to mitigate impacts should be considered.	Specific contributions to local development are not considered in this document.	N/A	N/A	Under the phases outlines in the guidelines, initiating and building relationships with aboriginal communities does not occur until after the project specific planning phase.	N/A	N/A	N/A	Companies should outline the commitments made and related implementation plans to ensure commitments are honoured for near and long-term.	This guide is written to assist companies that have, or are planning to acquire, land or resource access rights from provincial or federal governments.		
Agreements between Mining Companies and Indigenous Communities: A Report to the Australian Minerals and Energy Environment Foundation	Indigenous Support Services and ACIL Consulting	Australia	www.icmm.com/document/1131	Civil Society	N/A	National	Australia	N/A	N	N/A	This document is a part of the Australian Mining, Minerals and Sustainable Development (MMSD) initiative, which is a subset of the global MMSD process.	Yes (minerals and energy)	No	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This document is not a policy document or set of regulations or guidelines in the sense that many others are. Rather, it summarizes many of the agreements that have been made between mining and exploration companies, Indigenous communities and on occasions other parties including governments.		
Indigenous Peoples and the oil and gas industry	IPIECA: The global oil and gas industry association for environmental and social issues	United Kingdom	http://www.ipieca.org/topics/social-responsibility/community-engagement/6162374	Civil Society	N/A	International	International	None specified	N/A	The document details the extensive discussions on how to define IP, including reference to the UN and ILO criteria (see p. 4-5)	In 2008, IPIECA's Social Responsibility Working Group established a task force to examine IP issues relating to the oil and gas sector.	Yes (oil and gas)	N/A	Yes (and discusses the differences between FPIC and free prior informed consultation) (see p. 19)	Emphasizes the necessity of being inclusive of both men and women, as well as young people in decision making	Yes (see p. 39)	Yes, a general principle to preferentially employ or contract IP as feasible is identified as a best practice (see p. 46)	Yes (see p. 46)	The best practices identified are not related to any single, specific project.	N/A	Yes (see p. 17)	N/A	N/A	Yes (see p. 18)	N/A	Monitoring of environmental impacts in partnership with IP seen as good practice (p. 46)	N/A	N/A	N/A	This document provides a thorough overview of the existing regulations relating to IP policies and case studies from a number of companies. It also provides a summary of emerging good practice (see p. 44-46).	

Source Name	Source Author	Author's Jurisdiction	Link to Source	Source Group	Type of Source	Scope	Geographic Region	Indigenous Group	Indigenous People Defined?		Policy Aimed Exclusively towards Indigenous Issues?	Industry Specific?	FPIC referenced?		Promotes Sustainable Environmental Practices?	Promotes Women's Participation/Gender Equality?	Contribution to Local Development					Impact Assessment?	Involved with Developing Standard?	Community Engagement		Indigenous Participation in Core Business Model	Monitoring			Comments		
									Y/N	Derived from external source?			Y/N	If not, level of consult?			Education	Employment	Equity Participation	Contribution Independent of Transactions?	Other			Early Stage Engagement	Grievance Mechanism		Ongoing?	By Independent 3rd Party?	By Internal Party?			
Indigenous Peoples and REDD-plus	IUCN: International Union for the Conservation of Nature	USA	http://cmsdata.iucn.org/downloads/iucn_briefing_ips_and_redd_a	Civil Society	N/A	International	International	N/A	N	See p. 48 discussing the criteria used to identify IP and explaining that IUCN uses an inclusive approach to determining who are IP	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This draft briefing document summarizes and addresses many of the same issues as other documents, but is specifically focused on IP with traditional links to forests (including the impact of climate change on IP and the enactment of REDD (Reducing Emissions from Deforestation and Forest Degradation)).		
Indigenous Peoples' Plan of Implementation on Sustainable Development	Partnership on Indigenous Peoples Rights and Sustainable Development	N/A	http://www.dialoguebetweennations.com/en/english/kariakimbet	Civil Society	N/A	International	International	N/A	N	N/A	N/A	N	Yes (see # 41 (re mining) and #89 (re corporate accountability))	N/A	Yes	Yes (see #17)	Yes (see #77-78)	N/A	N/A	N/A	Yes (see #46)	This is a statement from IP on sustainable development.	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This document is a statement from representatives of IP on what they deem to be key characteristics of sustainable development. It is from 2002, so is somewhat dated, but still provides value in that it gives the perspective of IP rather than companies, non-profits, etc.		
Integrating Indigenous and Gender Aspects in Natural Resource Management	IGNARM network (5 Danish NGOs)	Denmark	http://www.ignarm.dk/resources/Guidelines_for_Practitioners.pdf	Civil Society	Guideline	International	N/A	None specified (but groups in 6 countries were involved in developing the standard)	Y (see p. 38)	N/A	This policy addresses IP issues along with gender equality issues.	Yes (natural resource management)	Yes (see p. 28)	N/A	Yes	Yes: this is the primary focus of these guidelines	Education is acknowledged as an important concern for IP	Guidelines consider women's N/A employment		The guidelines are not related to any specific project	N/A	Yes	IP groups in Nepal, China, India, Kenya, Panama, and Ecuador were interviewed for developing the guidelines	The guidelines consider various stages in the project cycle (see p. 16)	No	N/A	N/A	N/A	Yes: "Participative Monitoring" including the IP and company	N/A	N/A	The guidelines address IP and gender issues within natural resource management projects. The primary focus is on women's participation (while acknowledging that many IP groups may not initially favor women's participation). The proposed actions are designed to promote the involvement of women in environmental decision-making at all levels and to ensure the integration of women's needs, concerns, and perspectives in policies and programs for environmental and sustainable development.
Manual for Building Relationships with Indigenous Peoples	ARPEL/ESAA	Uruguay/Canada	http://www.cim.org/csr/documents/Block669_Doc143.pdf	Civil Society	Guideline	International	South America and Caribbean	Multiple (see p. 10)	N	References the definitions/characteristics used in various international instruments	Yes	Yes (oil and gas)	Yes; in summaries of various international instruments	N/A	Yes (see p. 86)	Yes (see p. 115)	N/A	N/A	N/A	N/A	Contributions to local development are considered beginning at p. 95	Yes (see p. 115)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	ARPEL has many publications concerning oil and gas industry interactions with IP. This document is a thorough explanation of all aspects of developing a project that involves IP. It includes sections describing the various international legal instruments that are relevant as well as practical guidance for the companies. Each section is followed by a checklist of items to be considered and addressed. Another ARPEL document to reference is titled "Management Indicators for Assessing the Relations Between Oil Companies and Indigenous Peoples."		
Mining and Indigenous Peoples	International Council on Mining & Metals	United Kingdom	http://www.icmm.com/page1151/mining-and-indigenous-peoples	Civil Society	Guideline	International	International	None specified	N	Refers to the definitions in the applicable national and international laws	Yes (related to ICMM's Sustainable Development Framework)	Yes (mining and metals)	Yes; members commit to participating in forums dealing with the concept of FPIC	N/A		The IP guide refers to inclusion of women wherever possible throughout the document and also acknowledges gender issue sensitivity within IP groups.	N/A	N/A	N/A	N/A	Commitment #7 specifically deals with generating net benefits for both members and IP	Commitment #2 specifically deals with identifying potential social impacts on IP; see also Commitment #6	Commitment #3 deals with engaging and consulting with IP throughout the project phases	Commitment #8 calls for a clear channel of communication between IP and project managers	Commitment #7	Monitoring not specifically addressed	N/A	N/A	These two documents provide extensive detail into the relationship between mining and metals companies and IP. The first document provides a number of commitments that member companies are expected to make. The second document is a guide for member companies with significant implementation guidance for all stages in the project cycle.			
Performance Standard 7: Indigenous Peoples	International Finance Corporation	N/A	http://www.ifc.org/ifcext/enviro.nsf/AttachmentsByTitle/psol_Perfor	International Organization	Regulation	International	International	None specified	N (see #4-5)	Used in a generic sense to refer to peoples meeting elaborated criteria	This standard, which specifically deals with IP, is part of a set of eight social performance standards applying to any private sector project seeking IFC financing.	No	Refers to free, prior informed consultation	N/A	Yes	Yes (see #9)	N/A	N/A	N/A	N/A	See #10 for objective of development benefits	See #7-8 for objective of avoiding or minimizing adverse impacts	N/A	N/A	N/A	Yes (see #9)	N/A	N/A	N/A	The IFC Performance Standards are considered to be key social performance standards for the private sector and have been used as the basis for most other financial institutions' policies and internal company policies.		
The Matsigenka Declaration on Cultural and Intellectual Property Rights of Indigenous Peoples	World Intellectual Property Organization	N/A	http://www.wipo.int/export/sites/www/tk/en/folklore/creative_herita	Civil Society	N/A	International	International	N/A	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This declaration from 1993 offers recommendations to IP and to states, international, and national agencies regarding exploitation of IP cultural and intellectual property.		
WCD's Final Report	World Commission on Dams	USA	http://www.internationalrivers.org/en/about-international-rivers	Civil Society	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	The 2010 Annual Report for this organization details some of the support offered to IP in Brazil.		
Declaration on the Rights of Indigenous Peoples	United Nations	N/A	http://www.un.org/esa/socdev/unpfii/documents/DRIPS_en.pdf	United Nations	N/A	International	International	All indigenous peoples	N	N/A	Y	N	Yes (see Article 10)	N/A	Yes (see Article 29)	Yes (see Article 22)	See, e.g., Art. 14, 21	See, e.g., Art. 21	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Yes (see Art. 28)	N/A	N/A	N/A	The Declaration is not a convention, and therefore is not legally binding, however it has become a primary point of reference for many indigenous groups and their advocates. Its content is more explicitly focused on rights of IP, where ILO Convention No. 169 deals with obligations of States.		
Convention on the Elimination of all Forms of Racial Discrimination (1965)	Office of the United Nations High Commissioner for Human Rights	Switzerland	http://www2.ohchr.org/english/law/cerdd.pdf	United Nations	Regulation	International	International	N/A	N	N/A	N	N	N	N/A	N	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This Convention does not deal exclusively with IP, but the Committee on the Elimination of Racial Discrimination has consistently affirmed that discrimination against indigenous peoples falls under the scope of the Convention and that all appropriate means must be taken to combat and eliminate such discrimination. (See Office of the High Commissioner for Human Rights Gen Rec. No. 23 (08/18/1987). "Racial discrimination" is defined as "any distinction, exclusion, restriction or preference based on race, colour, descent, or national or ethnic origin which has the purpose or effect of nullifying or impairing the recognition, enjoyment or exercise, on an equal footing, of human rights and fundamental freedoms in the political, economic, social, cultural or any other field of public life." (Article 1)		
Convention on Biological Diversity (1992)	Convention on Biological Diversity	Canada	http://www.cbd.int/doc/legal/cbd-en.pdf	United Nations	Regulation	International	International	None specified	N	N/A	N	N	N	N/A	Y	Role of women in conservation and sustainable use of biological diversity recognized in preamble	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	The Convention on Biological Diversity (CBD) is an international agreement established by the United Nations. Its aim is to preserve biological diversity around the world. Article 8(j) of the CBD recognizes the role of indigenous peoples in the conservation and management of biodiversity through the application of indigenous knowledge, based on biological/genetic resources and associated indigenous/traditional knowledge have expanded from the deliberations of the Working Group on Access and Benefit-Sharing, the Working Group on Protected Areas and within various other thematic and cross-cutting issues.	
Convention for the Safeguarding of the Intangible Cultural Heritage (2003)	UNESCO	France	http://unesdoc.unesco.org/images/0018/001870/187086e.pdf	United Nations	Regulation	International	International	None specified	N	N/A	N	N	Yes (see p. 31, 36, 42)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This convention is included because it recognizes the contributions of IP in the production, safeguarding, maintenance and re-creation of the intangible cultural heritage.		
Convention on the Protection and Promotion of the Diversity of Cultural Expressions (2005)	UNESCO	France	http://portal.unesco.org/en/ev.php-URL_ID=31038&URL_DO=DQ	United Nations	Regulation	International	International	None specified	N	N/A	N	N	N	N/A	N	Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This convention benefits women and persons belonging to minorities and indigenous peoples, by including among the Parties' obligations that of creating an environment conducive to the creation, production, dissemination and enjoyment of the cultural expressions of these groups	
Universal Declaration on Human Rights (1948)	United Nations	USA	http://www.un.org/en/documents/udhr/	United Nations	N/A	International	International	N/A	N	N/A	N	N	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	The Universal Declaration of Human Rights is the first international document that states that all human beings are "equal in dignity and rights." (Article 1) Everybody is entitled to the rights in the Declaration, "without distinction of any kind, such as race, colour, sex, language, religion, political or other opinion, national or social origin, property, birth or other status." (Article 2)	
International Covenant on Civil and Political Rights (1966)	Office of the United Nations High Commissioner for Human Rights	Switzerland	http://www2.ohchr.org/english/law/ipccpr.pdf	United Nations	Regulation	International	International	N/A	N	N/A	N	N	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This Covenant outlines the basic civil and political rights of individuals. There are also provisions for collective rights. "In those States in which ethnic, religious or linguistic minorities exist, persons belonging to such minorities shall not be denied the right, in community with the other members of their group, to enjoy their own culture, to profess and practice their own religion, or to use their own language." (Article 27)	
International Covenant on Economic, Social and Cultural Rights (1966)	Office of the United Nations High Commissioner for Human Rights	Switzerland	http://www2.ohchr.org/english/law/ipicscr.pdf	United Nations	N/A	International	International	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This Covenant describes the basic economic, social, and cultural rights of individuals. It also has provisions for collective rights.	
United Nations Second International Decade of the World Indigenous Peoples (2005-2014)	United Nations	N/A	http://www.un.org/esa/socdev/unpfii/documents/brochure_2nd_id	United Nations	N/A	International	International	All indigenous peoples	N	N/A	N/A	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	One of the main objectives of the Second Decade is to develop strong monitoring mechanisms and accountability at the international, regional and national levels in relation to existing legal, policy and operational frameworks protecting IP.	
Universal Declaration on Bioethics and Human Rights (2005)	UNESCO	France	http://unesdoc.unesco.org/images/0014/001428/142826e.pdf	United Nations	N/A	International	International	All indigenous peoples	N	N/A	N	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This document recognizes the unethical scientific conduct that has had an impact on IP and stresses the need to reinforce international cooperation in the field of bioethics, taking into account, in particular, the special needs of developing countries, indigenous communities and vulnerable populations.	
Bonn Guidelines on Access to Genetic Resources and Fair and Equitable Sharing of the Benefits Arising out of their Utilization (CBD 2002)	Convention on Biological Diversity	Canada	http://www.cbd.int/doc/publications/cbd-bonn-guids-en.pdf	United Nations	Guideline	International	International	None specified	N	N/A	N	N	N/A		Y	The guidelines call for "prior informed consent" (see p. 9)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	The Bonn Guidelines identify the steps involved in the process of obtaining access to genetic resources and benefit-sharing, with an emphasis on the obligation for users to seek the prior informed consent of providers. They also identify the basic requirements for mutually agreed terms and define the main roles and responsibilities of users and providers and stress the importance of the involvement of all stakeholders.
Akwé: Kon Guidelines (CBD 2004)	Convention on Biological Diversity	Canada	http://www.cbd.int/doc/publications/akwe-brochure-en.pdf	United Nations	Guideline	International	International	None specified	N	N/A	Y	N	N/A	"Prior informed consent" is the first of the general considerations to be taken into account (see p. 21)	Y	Y; gender considerations are listed as the second key consideration (see p. 21)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	The Akwé: Kon guidelines are voluntary guidelines for the conduct of cultural, environmental and social impact assessments regarding developments proposed to take place on, or which are likely to impact on, sacred sites and on lands and waters traditionally occupied or used by indigenous and local communities.	
Declaration of the UN on the Rights of Persons Belonging to National or Ethnic, Religious or Linguistic Minorities (1992)	United Nations	N/A	http://www.un.org/documents/asp/res/47/res471936.htm	United Nations	N/A	International	International	None specified	N	N/A	N	N	N	N/A	N	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This Declaration deals with all minorities, which includes many of the world's Indigenous Peoples. It only concerns individual rights, although collective rights might be derived from those individual rights. The Declaration deals both with states' obligations towards minorities as well as the rights of minority people. Topics that are dealt with include the national or ethnic, cultural, religious or linguistic identity of minorities (Article 1); the free expression and development of culture; association of minorities amongst themselves; participation in decisions regarding the minority (Article 2); the exercise of minority rights, both individual and in groups (Article 3); and education of and about minorities. (Article 4)	
Teikwaikwaik Code of Ethical Conduct to Ensure Respect for the Cultural and Intellectual Heritage of Indigenous and Local Communities	Convention on Biological Diversity	Canada	http://www.cbd.int/indigenous/codeofethic/codeofethic-brochure-en.pdf	United Nations	Code	International	International	None specified	N	N/A	Y	N	N/A	Like the other CBD materials, the source refers to "prior informed consent" (see p. 10)	Y	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	The Code of Ethical Conduct is intended to provide a collaborative framework ensuring the effective participation and prior informed consent or involvement and approval of indigenous and local communities in activities, including research proposed, on their knowledge, territories and related resources.	

Source Name	Source Author	Author's Jurisdiction	Link to Source	Source Group	Type of Source	Scope	Geographic Region	Indigenous Group	Indigenous People Defined?		Policy Aimed Exclusively towards Indigenous Issues?	Industry Specific?	FPIC referenced?		Promotes Sustainable Environmental Practices?	Promotes Women's Participation/Gender Equality?	Contribution to Local Development					Impact Assessment?	Community Engagement			Indigenous Participation in Core Business Model	Monitoring			Comments		
									Y/N	Derived from external source?			Y/N	If not, level of consult?			Education	Employment	Equity Participation	Contribution Independent of Transactions?	Other		Involved with Developing Standard?	Early Stage Engagement	Grievance Mechanism		Ongoing?	By Independent 3rd Party?	By Internal Party?			
Extractive Industries Operating Within or Near Indigenous Territories	Special Rapporteur on the rights of indigenous peoples, James Anaya	N/A	http://www.ohchr.org/Documents/Issues/Peoples/SRIA-HRC-18-31	United Nations	N/A	International	International	Not limited to any particular IP group, but refers to questionnaires sent to specific IP groups in developing the report	N	N/A	N/A	Y (extractive industries)	N/A	Discusses whether extractive companies require the IP's consent or carry out consultations as a mere formality	Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This report details some of the Special Rapporteur's concerns regarding the implementation of natural resource extraction and other development projects on or near indigenous territories and emphasizes the need for a minimum common understanding of the content and scope of the rights of IP and of the implications of those rights for the future desirability or viability of extractive industries and related matters.		
Guiding Principles on Business and Human Rights: Implementing the United Nations' 'Protect, Respect and Remedy' Framework	Special Representative of the Secretary-General on the issue of human rights and transnational corporations and other business enterprises, John Ruggie	N/A	http://www.ohchr.org/Documents/Issues/Business/A_HRC-17-31	United Nations	N/A	International	International		N	N/A	N	N	N	N/A	N/A	Acknowledges the different risks that may be faced by women and NIA men in implementing the Guiding Principles	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This is the final report of the Special Representative. It summarizes his work from 2005 to 2011, and presents the 'Guiding Principles on Business and Human Rights: Implementing the United Nations' 'Protect, Respect and Remedy' Framework.'		
Indigenous Peoples and Industrial Corporations	United Nations Permanent Forum on Indigenous Issues	N/A	http://www.un.org/en/events/indigenousday/pdf/Indigenous_Indus	United Nations	N/A	International	International	Not limited to any particular IP group, but refers to developments in certain regions	N	N/A	N	Y (but notes that extractive industries have proven especially problematic)	Y	N/A	Y	Y	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	This document is a fact sheet providing some background information on the relationships between IP and industrial corporations and lists some promising practices and suggestions for improving the situation.		
The UN 'Protect, Respect and Remedy' Framework for Business and Human Rights	UN Human Rights Council	N/A	http://198.170.85.29/ruggie-protect-respect-remedy-framework	United Nations	Guideline	International	International		N	N/A	N	N	N	N/A	N	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	The 'Protect, Respect and Remedy' Framework rests on three pillars: the state duty to protect against human rights abuses by third parties, including business, through appropriate policies, regulation, and adjudication; the corporate responsibility to respect human rights, which means to act with due diligence to avoid infringing on the rights of others and to address adverse impacts that occur; and greater access by victims to effective remedy, both judicial and non-judicial.		
UNDP and Indigenous Peoples: A Policy of Engagement	UNDP	N/A	http://www.undp.org/content/dam/undp/library/publication/en/publication	United Nations	Guideline	International	International	Refers to the four criteria used by the UN human rights bodies, I.E. the World Bank and international law.	N/A		Y	N	Y	N/A	Y	Y	N/A	N/A	N/A	N/A	N/A	Y (see #62)	N/A	N/A	N/A	N/A	N/A	N/A	N/A	Role to access to Governments – its principal partners – UNDP has an important role to play in facilitating dialogue with and advancing the concerns of indigenous peoples. Through its policy on engagement, UNDP is committed to creating space at the local, regional, national and international level to ensure that local and indigenous voices are heard; that they inform policy making; and that they are acted upon.		
Universal Declaration on Cultural Diversity	UNESCO	France	http://unesdoc.unesco.org/images/0012/001271/12714716m.pdf	United Nations	N/A	International	International		N		N	N	N	N/A	Y	N	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	UNESCO's Universal Declaration on Cultural Diversity notes the traditional knowledge of IP that must be protected and particularly notes the rights of persons belonging to minorities and those of IP.		
Engagement with Indigenous Peoples: Policy	International Fund for Agricultural Development	Italy	http://www.ifad.org/english/indigenous/documents/ifad_policy_e.pdf	United Nations	Guideline	International	International (makes particular mention of Asia and Latin America)	None specified	Yes	uses a working definition with four criteria (see p. 6-7)	Yes; related to the Strategic Framework	Agriculture	Yes; it is one of the fundamental principles (see Annex I)	N/A	Yes (p. 14)	Yes (p. 14)	N/A	N/A	N/A	N/A	Focus on empowerment of IP (see p. 14)	N/A	The policy stems from consultations with IP leaders, among others	N/A	N/A	N/A	N/A	N/A	Monitoring mechanisms should be participatory (p. 16)	IFAD is a specialized UN agency dedicated to eradicating rural poverty in developing countries.		
Integrated Safeguards System Working Progress	African Development Bank Group	N/A	http://afdb.org/fileadmin/useruploads/afdb/Documents/Policy_Documents/AFDB%20SOS%20202012%20ENGLISH.pdf	International Organization	Guideline	International	Africa	Y, reference to international and national recognition		Y	N	N	Y	N/A	Y	Y	Y	Y	N	Y	health, infrastructure, culture	Y	Y	Y	Y	N	Y	Y	N/A	This policy is currently open for public consultation.		
Environmental and Social Practices Handbook	European Investment Bank	N/A	http://www.eib.org/attachments/thematic/environmental_and_social_practices_handbook.pdf	International Organization	Guideline	International	Europe/EMEA		N	N/A	N	N	N	Bank shall ensure that effective consultation shall have taken place	Y	Y	Y	Y	N	Y	health, cultural programs	Y	Y	N	N	N	Y	N	Y	Focus is primarily on environmental issues, with short inclusion of indigenous peoples. Very inclusive of indigenous peoples, noting that no single definition exists and dedicating a staff member when IP issues are present.		
Guidelines for Multinational Enterprises	Organisation for Economic Co-operation and Development	N/A	http://www.oecd.org/dataoecd/43/29/48045323.pdf	International Organization	Guideline	International	International		N	N/A	N	N	N	To the greatest extent practicable, employ local workers	Y	Y	Y	Y	N	N	N/A	N	N	N	N	N	N/A	N/A	Primarily Labor & Employment issues			
Extractive Industries Review	World Bank	N/A	http://www.ifc.org/ifcext/eir.nsf/AttachmentsByTitle/FinalManagementResponse?Open&js=1	International Organization	Guideline	International	International		N	Reference to other WB documents		Y Extractive Industries	Y	N/A	Y	N	Y	Y	Y	N	Y	energy efficiency	Y	Y	Y	Y	Y	N/A	Y	Project specific	Project specific	Comprehensive review of World Bank's policies as relates to extractive industries, environmental policies and impact on indigenous peoples and the poor.