

Introduction to the Guiding Principles for the Implementation of the UN "Protect, Respect and Remedy" Framework

February 21, 2013 – 9:00 AM EST

moderated by

Marie Wibe, Policy Advisor, UN Global Compact Office



United Nations Global Compact

Agenda



Introduction by UNGC ~ 7 Minutes

Marie Wibe, Policy Advisor, Human Rights & Government Relations, UNGC



Introduction to the Guiding Principles ~ 12 Minutes

Lene Wendland, Adviser on Business & Human Rights, Office of the High Commissioner for Human Rights



Local Network Example ~ 12 Minutes

Gwendolyn Remmert, Project Manager, German Global Compact Network



National Human Rights Institutions as Partners ~ 12 Minutes

David Langtry, Acting Chief Commissioner, Canadian Human Rights Commission

Maina Mutuaruihu, Kenya National Commission on Human Rights

Q & A – Remaining Time



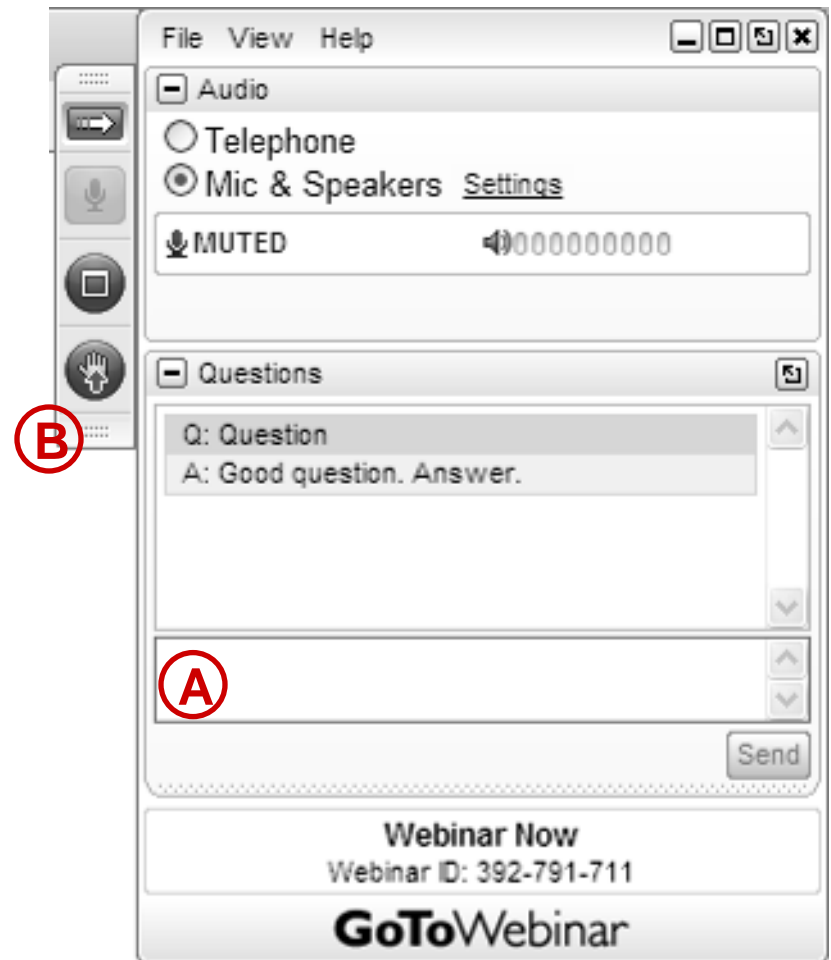
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Questions

Technical Difficulties: If you have technical issues, please let us know by typing a message in the Questions pane (A). You can raise your hand (B) if we do not respond.

Q&A: We will be taking questions on content at the end, but you can send them to us throughout the webinar by using the Questions pane (A). Please specify to whom the question should be directed.

Example: Question for Mr. Daly: What are the Guiding Principles?



1. Human Rights in the UNGC

The UN Global Compact asks companies to embrace, support and enact, within their sphere of influence, a set of core values in the areas of human rights, labour standards, the environment and anti-corruption:

- 1. Businesses should support and respect the protection of internationally proclaimed human rights; and**
- 2. make sure that they are not complicit in human rights abuses.**
- 3. Businesses should uphold the freedom of association and the effective recognition of the right to collective bargaining;**
- 4. the elimination of all forms of forced and compulsory labour;**
- 5. the effective abolition of child labour; and**
- 6. the elimination of discrimination in respect of employment and occupation.**
7. Businesses should support a precautionary approach to environmental challenges;
8. undertake initiatives to promote greater environmental responsibility; and
9. encourage the development and diffusion of environmentally friendly technologies.
10. Businesses should work against corruption in all its forms, including extortion and bribery.



2. What are the Human Rights Commitments that UNGC Participants Make?

A closer look at the first principle ...

Principle 1 – Businesses should support *and* respect the protection of internationally proclaimed human rights

Respect – don't cause harm (the minimum)

Support – make a positive contribution (desirable, encouraged)





Business and Human Rights

Protect, Respect and Remedy

Ms. Lene Wendland

Adviser on Business and Human Rights

Office of the UN High Commissioner for Human Rights

Global Compact Webinar 21 February 2013

UN process 2005-2011



John Ruggie
Special Representative of the UN
Sec-Gen (SRSG)



- Human Rights Council mandate
 - *Identify and clarify standards of Corporate Responsibility*
 - *Clarify role of States*
- Evidence-based: voluminous research, 47 multi-stakeholder consultations

What to Do – 3 Pillar Framework

State Duty to **Protect**

- Policies
- Regulation
- Adjudication

Corporate Responsibility to **Respect**

- Act with due diligence to avoid infringement
- Address adverse impacts on human rights

Access to **Remedy**

- Effective access for victims
- Judicial and non-judicial

How to Do – Guiding Principles



- Unanimously endorsed by the UN HRC (HRC resolution 17/4)
– strong government foundation
 - Global reference point: provide overarching standard and benchmarks for action and accountability
 - Structured on the three pillars
 - 31 Principles – 14 to business – to implement the Framework
-

Key features of the GPs



- All States.
- All companies, of all sizes, in every sector, in any country.
- Distinct, but complementary responsibility between States and companies
- All internationally recognized human rights.
- No new legal obligations for states— but elaborate on implications of existing obligations and practices for States and business.
- Human rights cannot be offset: doing good in one aspect cannot compensate human rights harms elsewhere.
- Contains “smart mix” of regulatory and voluntary approaches

Alignment of Standards



UN Framework & GPs



EU CSR Policy



Global Compact Local Networks and the Guiding Principles



- All Global Compact participants have committed to implementing the Guiding Principles
 - Local Networks can play a key role in outreach, dissemination and capacity building related to the Guiding Principles
 - Local Networks can become centres of knowledge excellence at the national level on the implementation of the Guiding Principles
 - Local Networks can facilitate dialogue with other stakeholders on implementation
-

Working Group on B&HR



- Human Rights Council resolution 17/4 Working Group on the issue of human rights and TNCs and other businesses. Mandate:
 - Promote **dissemination and implementation** of GPs
 - Promote **good practices and lessons learned** on implementation, assess and make recommendations, as well as seek and receive information in that context
 - Support efforts to promote **capacity-building** and use of the GPs, provide advice and recommendations on legislation and policies upon request
 - Conduct **country visits**
 - Explore options for enhancing access to effective remedies
 - Integrate gender perspective and attention to vulnerable persons, including children

Annual Forum

- Multi-stakeholder
- Discuss trends and challenges in implementation
- Promote dialogue

- Michael Addo (Ghana)
- Alexandra Guaqueta (Colombia/USA)
- Margaret Jungk (USA)
- Puvan Selvanathan (Malaysia)
- Pavel Sulyandziga (Russia)



Global Compact Network
Germany

**HUMAN
RIGHTS**

LABOUR

**ENVIRON-
MENT**

**ANTI-
CORRUPTION**

The German Global Compact Network

- Human Rights Workstream -

Gwendolyn Remmert

GCO, Local Networks Webinar
21 February 2013

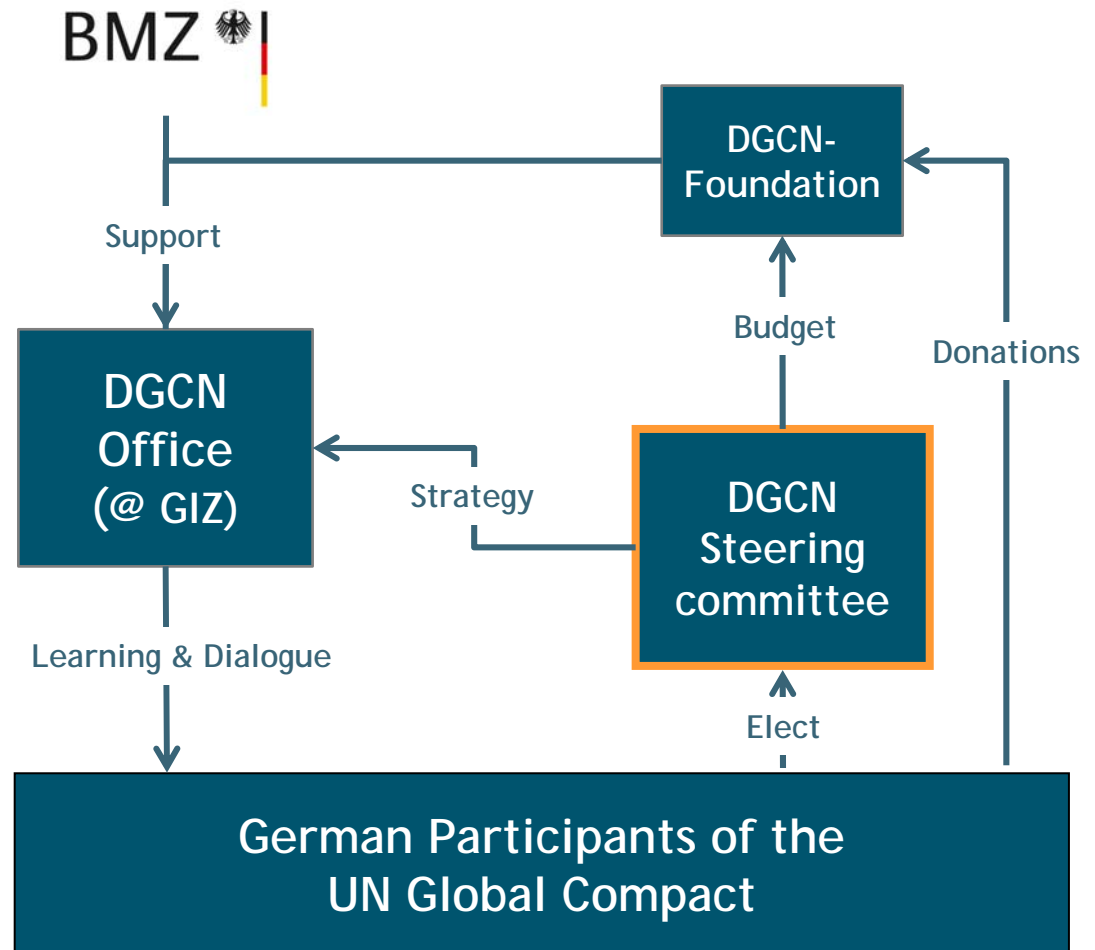
Facts & Structure

240 business participants

60 non-business participants

Multi-Stakeholder network & steering committee

Target group:
companies with a
substantial foreign
business exposure



Work Programme

- Steering committee chooses 2 focus topics for the network per year
- 2-3 working meetings for network participants per year
- Company group coachings: Business & Human Rights, Sustainable Supply Chain Management, Anti-Corruption ...
- Online-Tools: OCAI, CoP, „Kompass Nachhaltigkeit“
- Webinars: Introduction to GC, CoP, Human Rights
- Publications & Translations on all GC topics

Business & Human Rights Workstream



Business & Human Rights in the German Network

- Topic developed since 2008 with partner twentyfifty Ltd.
 - Permanent focus topic: perspective on different issues such as water or diversity & inclusion
 - Leading Role in the German debate on B&HR from political as well as private sector perspective
- New Partner: German Institute for Human Rights
- Webinars & Workshops at network meetings

Business & Human Rights Workstream



Coaching & Peer Learning

Global Compact Network
Germany

Peer Learning Group – Template for learning projects

The Business and Human Rights Peer Learning Group of the German GC network is an active learning platform on Business and Human Rights for European Businesses – beginners and leaders in human rights. In order to help participants in the group achieve real progress in the implementation of the UN Guiding Principles on Business and Human Rights, each company in the group will define a concrete learning project that it will work on in 2013 (and possibly beyond).

Learning projects can address any topic related to business and human rights. They can be issue specific or focused on certain processes, business units and/or management systems. Examples for such projects could be: developing a methodology for assessing human rights impacts and pilot implementation in a country/site; developing a training format and roll out of targeted trainings on human rights; human rights due diligence in relationships with clients; review of existing grievance mechanisms in line with the UNGPs; addressing the risk of negative impacts on the right to freedom of association in Turkmenistan etc. You should focus on a project you are already planning to undertake in your business – however small or huge, what stage it is currently at, or what obstacles you face.

Company name:

Name of participant in the group:

What is the working title for your learning project?

Please briefly describe the content of your project:

What do you want to achieve with your project for 2013? What would be key milestones on the way?

What topics could the group address which would help you in implementing your project?

Please submit a learning project by January 25 Guendebys.Femmer@gtz.de or Madeline.Krahn@twentyfifty.de! With the submission of the completed template you also indicate your interest to be involved in the work of the peer learning group going forward. Your information will be handled confidentially. A webinar will be organized on February 5, 2013, to discuss next steps and plans for the group in 2013.

Deutsches
Global Compact Netzwerk

ABOUTTEILNAHMETHEMENFORMATERESSOURCENKONTAKTLOGIN

Startseite >

RESPECTING HUMAN RIGHTS – ORGANISATIONAL CAPACITY ASSESSMENT INSTRUMENT (OCAI)

Global Compact Network
Germany

Welcome to the Organisational Capacity Assessment Instrument (OCAI)

This Organisational Capacity Assessment Instrument (OCAI) is intended to help you assess and improve your company's management capacity to manage its human rights impacts.

Benefits of using the tool:

- serves as a basis for starting conversations about human rights within your business,
- gives you a benchmark for your company's current capacity to manage its human rights impacts and guidance on how to take it to the next level – to design or improve policies and systems, engage relevant stakeholders, build staff awareness and knowledge, improve your communication and more,
- enables you to establish priorities, targets and aspirations for human rights management practice and performance appropriate to your industry, size, geographical spread and other risk factors, and
- provides for an assessment of how well you are prepared to fulfil the corporate responsibility to respect human rights as described by the United Nations (UN) Guiding Principles on Business and Human Rights.

The OCAI consists of a self-assessment questionnaire with 22 questions centred on the major elements of the corporate responsibility to respect human rights as outlined in the UN Guiding Principles. The corporate responsibility to respect is a global standard of expected conduct for all businesses regardless of size or where they operate. It gives further clarity and provides a framework for companies to implement the first two UN Global Compact Principles.

When completing the OCAI, you are asked to evaluate where your company currently stands within a spectrum from "Non-responsive" to "Human Rights Promoter". Short descriptions of the different levels under each question assist in making the judgement. Moreover, you can also indicate the level you aspire to. The difference between where your company is now, and where it aims at, is the journey there is to go on.

Non-responsive

Reactive

Efficient Management

Proactive Expectation-setting

Strategic Integration

Human Rights Promoter

Upon completion of the questionnaire you will be provided with a summary of your results that you can download and use e.g. for awareness raising or strategy development.

Business & Human Rights Workstream



Resources



CoP-Tool



- Target group: SMEs
- Goal: Improve CoP-quality by providing a well structured instrument at GC active level
- Ensure compatibility with GRI, DNK and GC requirements

„Kompass Nachhaltigkeit“

- Target group: free access, mainly SMEs
- Goal: providing a step-by-step guide through making the SC more sustainable with easy tools for every step
- Database of standards and initiatives relevant to the SC

The screenshot displays the homepage of the 'KOMPASS NACHHALTIGKEIT' website, which is dedicated to small and medium-sized enterprises (KMU). The header features the logo and a navigation bar with links to 'NACHHALTIGE BESCHAFFUNG', 'THEMEN', 'BEISPIELE', 'STANDARDSUCHE', 'SELF-CHECK', 'AKTUELLES', 'PROJEKT', and 'MY KOMPASS'. A login section in the top right corner includes a 'LOGIN' button, a 'Jetzt registrieren!' button, and input fields for 'E-Mail-Adresse' and 'Passwort vergessen?'. Below the navigation bar, a large image shows a group of people working in a factory setting. The main content area is divided into several sections: 'NACHHALTIG BESCHAFFEN - ABER WIE?' (Sustainable Procurement - But How?), 'BEISPIELE' (Examples), 'STANDARDS' (Standards), and 'AKTUELLES' (Current). The 'NACHHALTIG BESCHAFFEN - ABER WIE?' section provides an overview of the process phases and instruments, including 'Ausgangslage erfassen', 'Strategie definieren', 'Massnahmen festlegen', 'Umsetzung sicherstellen', and 'Messen & berichten'. The 'BEISPIELE' section mentions that many companies are already engaged in sustainable procurement and offers a link to 'zu den Beispielen'. The 'STANDARDS' section states that the database contains sustainability standards, initiatives, and labels for selected industries and topics, with a link to 'zur Standardsuche'. The 'AKTUELLES' section features a news item dated 07.11.2012 about the 'Fair Wear Foundation Mitglied Takko produzierte in chinesischen Gefängnissen' (Fair Wear Foundation member Takko produced in Chinese prisons), with a link to 'Am Wochenende berichtete der Spiegel'.

Planned B & HR Activities 2013

- Translation of Ruggie Guidelines
- Translation of OCAI-Tool
- Group Project of the PLG
- Focus Topic: Labour Standards (in the Supply Chain)
- Human Rights in Germany
- Human Rights for SME's

Thank you!

Gwendolyn Remmert

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CANADIAN
HUMAN RIGHTS
COMMISSION

COMMISSION
CANADIENNE DES
DROITS DE LA PERSONNE



National Human Rights Institutions as Partners in Implementing the United Nations *Protect, Respect and Remedy* Framework

United Nations Global Compact Webinar
21 February 2013 - 9:00 EST

Canada 

NHRIs and the UN *Protect, Respect and Remedy* Framework

NHRIs are independent, expert bodies on human rights established through national law or constitution, with a broad mandate to promote and protect human rights.

The UN Human Rights Council has explicitly affirmed the important role of NHRIs on business and human rights in resolutions, e.g. A/HRC/17/4.

NHRI play roles across all three pillars of the UN Framework:

Pillar 1: helping to align relevant national law and regulations

Pillar 2: providing expert, independent advice

Pillar 3: facilitating mediation or conciliation of complaints



NHRIs and UNGC Local Networks - Opportunities -



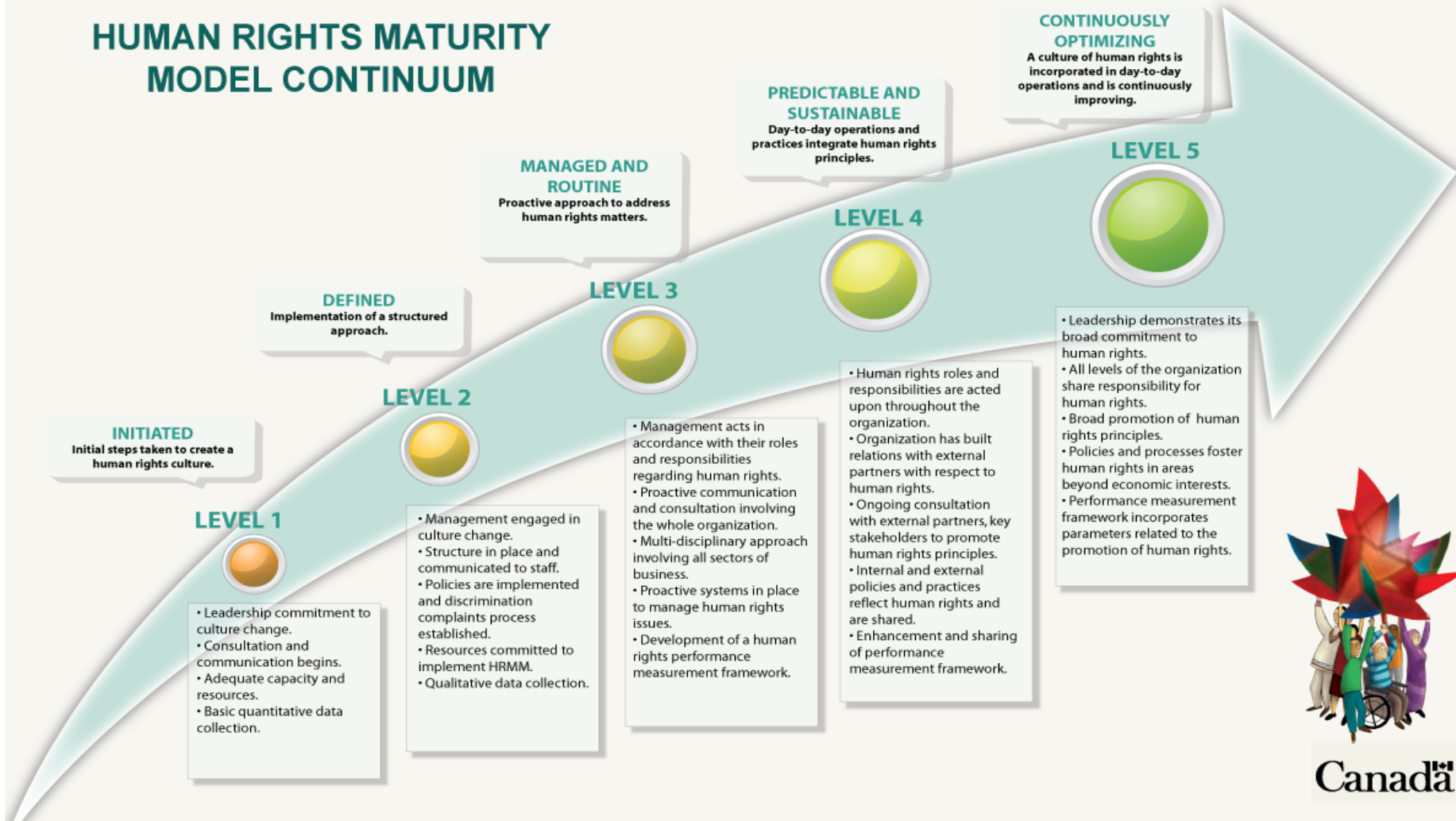
CHRC: Building Corporate Responsibility for Human Rights

- ***Discrimination prevention***- promoting equality in the workplace of persons in designated groups traditionally underrepresented in the workplace
- ***Dispute resolution*** - screening, mediating, or investigating complaints by Canadians who believe they have been subject to discrimination by a federally regulated organization; or representing the public interest in litigation
- ***Knowledge products and initiatives***– through policy development, monitoring, special programs, products and services intended for the use of federally regulated employers and service providers





HUMAN RIGHTS MATURITY MODEL CONTINUUM



Canada



NHRIs as Partners



Mr. Maina Mutuaruhiu

How KNCHR Works With Business on Issues Related to the Guiding Principles

<http://www.knchr.org>



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Materials

Presenters have shared the following materials, which we will provide following the webinar.

- **Working Together: National Human Rights Institutions and UN Global Compact Local Networks**
- **Business And Human Rights Workstream from GCLN Germany**

Presentation slides and a recording of the webinar will also be available on the UNGC website.



Thank You

Thank you for joining us today.

If you have any additional questions, please contact:

Marie Wibe: wibe@un.org



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