



Sustainable Stock Exchanges Event

Monday 2 November 2009
United Nations Headquarters, New York

Private Rooms 5, 6, 7, and 8 at Delegates Dining Room

Agenda

8:30 - 9:15 **Registration and welcome coffee**

Please join us for coffee and breakfast.

9:15 - 9:30 **Opening remarks**

Video address: Ban Ki-moon, Secretary-General, United Nations

9:30 - 10:15 **Session I: Changing capital markets: emerging trends in responsible investment and the ESG agenda**

- Evolution of responsible investment and corporate ESG agenda, and the UN's work in this area.
- How are mainstream asset owners and managers addressing ESG-related risks and opportunities in their investments?
- How are responsible investors raising standards of ESG performance among the companies they own?
- How are leading companies responding?
- What are the biggest challenges investors and companies are facing in delivering high standards of ESG performance?

Speakers:

Georg Kell, Executive Director, UN Global Compact

Supachai Panitchpakdi, Secretary-General, UNCTAD

Antoine de Salins, Executive Director, Fonds de Reserve pour les Retraites (FRR)



10:15 – 11:00 Session II: Exchanges and the ESG agenda – the state of play

- How are exchanges addressing ESG issues to date? Summary of the various initiatives being undertaken by exchanges around the world around ESG issues, including:
 - Raising standards through listing rules and capacity building among companies.
 - Emergence of ESG indices
 - New trading opportunities for ESG-focused assets (carbon, microfinance, social enterprises)

Speakers:

Peter Clifford, Deputy Secretary General, World Federation of Exchanges (WFE)

Serge Harry, Executive Vice President NYSE Euronext, Chairman & CEO BlueNext

David J. Vidal, Director of Research, Global Corporate Citizenship, Director, Center for Corporate Citizenship & Sustainability, The Conference Board

Alyson Warhurst, Executive Chair, Maplecroft

11:00 – 12:15 Session III: How exchanges and regulators can enhance ESG transparency

- ESG disclosure requirements in listing rules
- Regulatory requirements relating to ESG transparency
- Option for enhancing ESG transparency – what is the balance between exchanges' rules and regulation?
- Do alternative trading platforms and interbank trading undermine ESG transparency?
- Will regulations responding to the financial crisis assist the market in delivering more ESG disclosure?

Moderator:

Marc Gunther, Contributing editor, FORTUNE Magazine

Speakers:

Paul Abberley, Chief Executive, Aviva Investors London

Jane Diplock, Chairman, International Organisation of Securities Commissions (IOSCO) and Chairman, New Zealand Securities Commission

Maged Shawky Sourial, Chairman, Egyptian Stock Exchange

Jothimani K. Muniandy, Head, Market Intelligence, Bursa Malaysia

John Wilson, Director of Corporate Governance, TIAA-CREF

12:15 – 13:30 Networking Lunch



13:30 - 15:00

Session IV: New opportunities: ESG indices and trading in ESG-focused investments

- ESG indices as a business opportunity for exchanges. How can they be commercialized?
- Are ESG indices a driver of corporate transparency?
- Do investors see ESG indices as a useful tool for developing fund products?
- How are ESG indices changing? Is there a move from an SRI to a broader ESG focus?
- Opportunities in emerging ESG alternatives: Carbon credits, forest bonds, social business, microfinance, sustainable infrastructure

Moderator:

Marc Gunther, Contributing editor, FORTUNE Magazine

Speakers:

Edemir Pinto, Chief Executive Officer and President, BM&FBOVESPA – Securities, Commodities and Futures Exchange

Michel Maquil, President, Luxembourg Stock Exchange

Ito Warsito, President Director, Indonesian Stock Exchange

Corli le Roux, Legal Counsel, Head of SRI Index, Johannesburg Stock Exchange

Tamzin Ractliffe, CEO, Global Social Investment Exchange (GSIX) and CSO, NeXii

15:00 - 15:30

Coffee Break

15:30 – 17:00

Session V: The way forward: Collaboration between exchanges and investors on the ESG agenda

- How can exchanges and investors work together to promote the ESG agenda (raising standards, etc.)?
- How can exchanges play a bigger role in supporting ESG agenda?
- How can exchanges benefit from working with the UN through the Global Compact, UNCTAD and the PRI?
- What would signing the PRI mean for an exchange?
- Next steps

Moderator:

Marc Gunther, Contributing editor, FORTUNE Magazine

Speakers:

Fábio Moser, Chief Investment Officer, PREVI

Paul Abberley, Chief Executive, Aviva Investors London

Hüseyin Erkan, Chairman and CEO, Istanbul Stock Exchange

James Gifford, Executive Director, PRI



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17:00 – 17:30 Concluding remarks

Speakers:

Georg Kell, Executive Director, UN Global Compact

James Zhan, Director, Division on Investment & Enterprise, UNCTAD

17:30 – 19:00 Reception



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