



United Nations Global Compact

Expert Group Meeting on Responsible Investment in Conflict-Affected Countries

Final Agenda

**Friday, 20 November, 2009
Delegates Dining Room (Private Dining Rooms 5-8)
UNHQ, New York**

Objectives of the Meeting

- To review and discuss a Guidance Document which outlines a set of general principles on responsible business practices/responsible investment in the following areas: core business, strategic social investment, local stakeholder engagement, engagement with shareholders and engagement with Governments.
- Discuss next steps including a GC-PRI meeting in Sudan as well as strategies for implementation of the Guidance Document.

08:15	Arrival at the UN Participants are asked to arrive at the Visitors Entrance of UNHQ (located on the east side of 1 st Ave & 46 th Street) to collect their passes and clear security. Participants will be escorted to the meeting room.
08:30	Registration & Coffee
09:00 – 09:10	Welcome & Opening Remarks <ul style="list-style-type: none">• Sir Mark Moody-Stuart, Vice-Chair of the Global Compact Board
09:10 – 09:15	Responsible Investment in Conflict-Affected Countries <ul style="list-style-type: none">• Mr. Georg Kell, Executive Director, UN Global Compact Office
09:15 – 10:00	<u>Plenary Session #1: Objectives of the Meeting and Discussion of Cross-Cutting Issues</u> (Focus on the Introduction section of the Guidance Document) <ul style="list-style-type: none">• Ms. Melissa Powell, Head of Strategy and Partnerships, UN Global Compact Office

	<p>Discussion Questions:</p> <ul style="list-style-type: none"> - Is the target audience sufficiently clear? Does the draft provide guidance for companies and investors or are specific “investor principles” needed? Are the principles relevant to all types of companies (publicly traded, private, state-owned)? - What terminology is most useful - “Conflict-Affected Areas” or “High-Risk Operating Environments”? - Do we sufficiently articulate the difference between a peaceful business environment and a conflict-prone/high-risk context and why this guidance is needed? - Do you agree with the overall structure of the document?
10:00 – 10:20	<p><u>Plenary Session #2: Conflict-Sensitive Core Business Operations</u></p> <p>Remarks by the Lead Author:</p> <ul style="list-style-type: none"> • Ms. Ulrike Joras, Senior Programme Officer, International Alert <p>Discussion Questions:</p> <ul style="list-style-type: none"> - Does this section sufficiently articulate the risks and opportunities to the company should managers fail to take the guidance into consideration in the development of policies and practices related to their core business operations? - Are there other principles that core-business operations should follow in conflict-affected settings? Do you concur with the principles outlined? - Is this section sufficiently relevant for the investor community? <p>Lead Discussants:</p> <ul style="list-style-type: none"> • Ms. Charlotte Wolff, Corporate Responsibility Manager, ArcelorMittal • Ms. Kirsty Jenkinson, Director, Governance & Sustainable Investment, F&C Asset Management • Mr. Henry Jackelen, Director, Private Sector Division, UNDP
10:20 – 11:00	Roundtable Discussion Session #1 Core Business
11:00 – 11:20	Report-back Session
11:20 – 11:35	Coffee Break
11:35 – 11:50	<p><u>Plenary Session #3: Conflict-Sensitive Strategic Social Investment and Local Stakeholder Engagement</u></p> <p>Remarks by the Lead Author:</p> <ul style="list-style-type: none"> • Ms. Dost Bardouille-Crema, Associate Director, Corporate Engagement, CDA Collaborative Learning Projects

	<p>Discussion Questions:</p> <ul style="list-style-type: none"> - What are the unique characteristics of a good strategic social investment project beneficial to mitigating risks in a conflict-affected country? Are these characteristics sufficiently clear in the Guidance Document? - What policies and strategies should a company develop to ensure that the community projects they support genuinely benefit the recipients? - What is the extent or type of engagement that should be expected with this stakeholder group? How should “legitimate” stakeholders be identified and how should the Guidance Document address which are the types of concerns that should warrant engagement? - Should the Local Stakeholder Engagement section include engagement with NGOs and if so, are the existing principles sufficient? <p>Lead Discussants:</p> <ul style="list-style-type: none"> • Ms. Debra Sequeira, Senior Social Development Specialist, IFC • Mr. Daniel Kronen, Senior Manager Corporate Responsibility, Siemens AG
11:50 – 12:35	Roundtable Discussion Session #2 Strategic Social Investment and Community Engagement
12:35 – 12:50	Report-back Session
13:00 – 14:00	<p>Lunch is served</p> <p>Luncheon Remarks</p> <ul style="list-style-type: none"> • Mr. Marco Rossi, Counselor, Permanent Mission of Switzerland to the United Nations
14:00 -14:15	<p><u>Plenary Session #4: Shareholder Engagement in Conflict-Affected Countries</u></p> <p>Remarks by the Lead Author:</p> <ul style="list-style-type: none"> • Ms. Melany Grout, Director, Conflict Risk Network <p>Discussion Questions:</p> <ul style="list-style-type: none"> - Should we more clearly enumerate additional “opportunities” posed by constructive engagement? - Without turning the Principles into good practice points, do company representatives have additional expectations for shareholders (and vice versa)? - What is the extent or type of engagement that should be expected with this stakeholder group? How should “legitimate” stakeholders be identified and how should the Guidance Document address which are the types of concerns that should warrant engagement?

	Lead Discussants: <ul style="list-style-type: none"> • Mr. Gerald Pachoud, Special Advisor, UN SRSG on Business and Human Rights • Ms. Janice Hester Amey, Portfolio Manager, CalSTRS
14:15 – 14:50	Roundtable Discussion Session #3 Shareholder Engagement
14:50 – 15:10	Report-back Session
15:10 - 15:25	<p><u>Plenary Session #5: Engagement with Governments</u> (Findings from the Good Practice Note developed by the Global Compact Human Rights Working Group)</p> <p>Remarks by the Lead Author:</p> <ul style="list-style-type: none"> • Ms. Nicky Black, Independent Consultant <p>Discussion Questions:</p> <ul style="list-style-type: none"> - The Good Practice Note was developed with a specific human rights focus. What are the other issues that need to be explored to ensure the Guidance Document is applicable to a broad range of issues that exist in a conflict-affected area/high-risk operating environment? - Should companies be engaging with governments in conflict-affected countries? If so, what approaches do you think have been most successful? Does the Guidance Document sufficiently address the most important principles? - Companies may not be able to share their specific approaches to government engagement for legal and strategic reasons. How can companies best communicate their position on engagement and their activities in this area? To what extent should investors be involved in this form of engagement and could this section be refined to be more relevant for investors? <p>Lead Discussants:</p> <ul style="list-style-type: none"> • Ms. Lauren Gula, Human Rights Advisor, UN Global Compact Office • Mr. Reg Manhas, Vice President, Talisman • Mr. Kris Douma, Head of Responsible Investment Support and Active Ownership, MN Services • Mr. Salil Tripathi, Policy Director, Institute for Human Rights and Business
15:25 – 15:40	Coffee Break
15:40 – 16:20	Roundtable Discussion Session #4 Engagement with Governments

16:20 – 16:40	Report-back Session
16:40 – 17:45	<u>Plenary Session #6: Next Steps - GC-PRI Meeting in Sudan, Implementing the Guidance Document</u> <ul style="list-style-type: none"> • GC- PRI Event in Sudan - Ms. Lena Mahgoub, DAL Group, Sudan and Ms. Valeria Piani, Project Manager, Principles for Responsible Investment • Implementing the Guidance Document - Ms. Melissa Powell, Head, Strategy and Partnerships, UN Global Compact Office
17:45 – 18:00	Closing Remarks <ul style="list-style-type: none"> • Mr. Georg Kell, Executive Director of the UN Global Compact • Sir Mark Moody-Stuart, Vice-Chair of the Global Compact Board
18:00	End of Meeting