



United Nations Global Compact

9th Meeting of the Global Compact Working Group on the Tenth Principle against Corruption

23-25 October 2011
Marrakesh, Morocco

DRAFT
Annotated Meeting Agenda

Sunday, 23 October	
9:00 – 10:30	<p>Registration</p> <p>You may wish to pick up your badge at the Registration Desk which will be open as of Friday 21 October at Palmeraie Golf Palace Complex. All Working Group Participants should have received an email from ConferenceRegistration@unodc.org, confirming their registration to the Fourth Conference of State Parties to the UN Convention against Corruption. If you have not yet received the confirmation email, please email chungd@un.org at your earliest convenience.</p>
10:30 – 13:00	<p>Meeting of the Sub-Working Group on Risk Assessment (Room: Palmeraie) Palmeraie Golf Palace Complex</p> <p>The objective of the sub-working group is to publish a comprehensive, integrated and holistic guide to aid commercial enterprises in conducting anti-corruption risk assessments. This platform, once completed, will serve as one of many tools used by companies in their anti-corruption and regulatory compliance efforts.</p> <p>Co-Chairs:</p> <ul style="list-style-type: none">• Mohammed Ahmed, Senior Manager, Forensic & Dispute Services , Deloitte Financial Advisory Services LLP• Karen Schonfelder, Manager, Integrity and Compliance, Nexen, Inc. <p>Agenda:</p> <ul style="list-style-type: none">• Welcome and Introduction• Review of the Sub WG Terms of Reference and Work Plans• Review of the Anti-Corruption Risk Assessment Index (http://www.unglobalcompact.org/docs/email_downloads/2011_10_15_acc/Risk_Assessment_Documents.zip)• Updates on Recent Results of Fraud Survey Findings• Review of the Draft Framework for AC Risk Assessment Guidance• Adoption of the Framework after Publication

15:00 – 17:30	<p>Meeting of the Sub-Working Group on Oil and Gas (Room: Palmeraie) Palmeraie Golf Palace Complex</p> <p>To promote the sectoral approach to tackle anti-corruption challenges, the Sub-Working Group on Oil and Gas was introduced at the 8th Meeting in Copenhagen. The Sub WG's objective is to review existing practices of risk assessment, risk response, and due diligence in the Oil and Gas sector. It also aims to collect existing preventative and risk assessment measures that have been undertaken by industry peers to engage in joint ventures. This sub-WG meeting will review the progress made since Copenhagen, and discuss ways to move forward the agreed workplan.</p> <p><u>Agenda</u></p> <ul style="list-style-type: none"> ▪ Welcome & Introduction ▪ Tour de Table (sharing expectations, identifying areas of common interest, and exchanging the existing practices) ▪ Review of the Progress and Next Steps ▪ Closing <p><u>Proposed Discussion Questions during the Tour de Table</u></p> <ul style="list-style-type: none"> ▪ What compliance measures are commonly viewed as a standard practice within the Oil and Gas? ▪ What compliance issues facing the Oil and Gas sector have not been sufficiently addressed by the existing industry associations?
Monday, 24 October 2011	
08:00 – 09:30	<p>Arrival & Registration (Registration Desk: Palmeraie Golf Palace Complex)</p> <p>Please pick up your badge at the Registration Desk. All Working Group Participants should have received an email from ConferenceRegistration@unodc.org, confirming their registration to the Fourth Conference of State Parties to the UN Convention against Corruption. If you have not yet received the confirmation email, please email chungd@un.org at your earliest convenience.</p>
09:30 – 11:00	<p>Participation in the Opening Ceremony of the Fourth Conference of State Parties to the UN Convention against Corruption (Siroua-Ticka Room, Palmeraie Golf Palace Complex)</p> <p>The Opening Ceremony will begin at 10 a.m. <i>It is crucial that participants arrive in the room and be seated by 9.45 a.m. due to security reasons. UN Global Compact has pre-registered all members of the WG</i></p>
11:00 – 11:30	Coffee Break

11:30 – 12:00	<p>Welcome and Opening (Room: Orangeriaie)</p> <ul style="list-style-type: none"> • Ambassador Chulki Ju, Special Advisor on the 10th Principle against Corruption & Secretary-General, Global Compact Korea Network • Jo Dedeyne-Amann, Chief, Implementation Support Section, Corruption and Economic Crime Branch, Division for Treaty Affairs, UNODC • Olajobi Makinwa, Head, Transparency and Anti-Corruption, UN Global Compact Office
12:00 – 13:30	<p>Report from the AC Risk Assessment Sub-WG & Corporate Experiences on Anti-Corruption Risk Assessment</p> <p>Co-Chairs of the Sub-Working Group will report back on the previous day's meeting. Participants will discuss how companies are conducting anti-corruption risk assessment, and identify how the deliverables from the Sub-Working Group could advance corporate anti-corruption risk assessment practices.</p> <ul style="list-style-type: none"> • Mohammed Ahmed, Senior Manager, Forensic & Dispute Services , Deloitte Financial Advisory Services LLP • Karen Schonfelder, Manager, Integrity and Compliance, Nexen, Inc. • Uto Ukanah, Company Secretary, MTN Nigeria <p>Interactive Discussions / Q&A</p> <ul style="list-style-type: none"> ○ What challenges do companies experience when conducting risk assessment? ○ What common policies and procedures have been unsuccessful at identifying risk? What adjustments were made? ○ What are the standard procedures to assess counter risk? ○ What incentives are needed to engage suppliers in anti-corruption initiatives? ○ Which monitoring and evaluating procedures have resulted in sustained transparency and accountability from employees and suppliers? ○ What measures should a company implement to help identify "red flags" in its supply chain (i.e. vendors with a reputation for corruption)?
13:30 – 14:30	Lunch
14:30 -15:30	<p>Road to Rio+20: Sustainability with Transparency and Integrity: <i>Corporate governance and anti-corruption measures in support of sustainability practices</i></p> <ul style="list-style-type: none"> • Session Moderator: Jermyn Brooks, Chair, Private Sector Advisory Group, Transparency International • Jock Mendoza-Wilson, Director, International and Investor Relations, System Capital Management • Ajit Joy, Country Manager, UNODC Indonesia

	<p>Participants will discuss how corporate compliance and ethics officers can integrate business perspectives into the Rio+20 agenda. Specifically, participants will seek to articulate the business case for anti-corruption, corporate governance and transparency, and identify ways to embed these messages into leading corporations on the cutting edge with regard to environmental sustainability. Participants will also discuss how the UN Convention against Corruption can contribute to strengthening a business case for anti-corruption in the context of sustainable development.</p> <p>Further, Working Group participants will make recommendations for how the Global Compact can better align its anti-corruption deliverables and existing resources to the sustainable development debates at Rio+20. The Global Compact also solicits Working Group participants' views and input on the design of the 10th Meeting on the Anti-Corruption Working Group, to be held during the last week of May 2012 in Rio de Janeiro along the sidelines of Rio+20.</p> <p>For more on Rio+20 please see http://www.uncsd2012.org/rio20/ . For the UN Global Compact and Rio+20, please see http://www.unglobalcompact.org/NewsAndEvents/rio_2012.html</p> <p>Interactive Discussion</p> <ul style="list-style-type: none"> • What specific actions, aside from policy, should companies adopt to enhance anti-corruption and environmental initiatives? • How does corruption negatively impact the environment? • How can businesses raise global awareness about a critical role of robust anti-corruption policies, measures and corporate governance in advancing green economy and sustainable development agendas?
15:30 – 16:00	Coffee Break
16:00 – 17:00	<p>Updates from the Oil & Gas Sub-Working Group Discussion on the Sectoral Approach to Anti-Corruption</p> <p>Members of the Oil & Gas Sub-Working Group will report back on the previous day's meeting. Building on the Oil & Gas Sub-Working Group's efforts, WG participants will engage in discussion on the value of sectoral approach to addressing corruption in the private sector.</p> <ul style="list-style-type: none"> • Heidi Olsen, Legal counsel & Head of LEG CEI IRM, Statoil • Francesca Cottone, Manager, Anti-bribery Italy, Sustainability Internal Control System and Training Compliance, ENI
17:00 – 17:30	<p>Anti-Corruption Reporting and Assurance</p> <ul style="list-style-type: none"> • Jermyn Brooks, Chair, Private Sector Advisory Group, Transparency International
17:30	End of First Day

Tuesday 25 October	
09:00 – 09:15	Recap of the 1st Day (Room: Orangeriaie) <ul style="list-style-type: none"> • Olajobi Makinwa, Head, Transparency and Anti-Corruption
09:15 – 10:00	Report from the PRME Anti-Corruption Working Group <p>The PRME Anti-Corruption Working Group aims to develop a set of guidelines for anti-corruption curriculum for business schools. Representatives from the PRME Working Group will share their progress and seek Working Group members' views on the relevance of the curriculum to the business community.</p> <ul style="list-style-type: none"> • Matthias Kleinhempel, IAE Business School • Ronald Berenbeim, Curriculum Content Coordinator, PRME
10:00 – 11:30	Public-Private Dialogue & Collaboration against Corruption & Updates on the GC LN Collective Action Projects (i.e. Brazil, Egypt, India, Nigeria & South Africa) <p>This session on the Public-Private Dialogue aims to enhance the understanding of existing practices as well as to identify what it is required to develop effective and sustainable public-private dialogues leading to successful collective action.</p> <p>Followed by a brief overview of existing frameworks conceptualising public-private dialogue and the work of the sub-working group on public-private dialogue/collaboration, participants will be updated of the latest development of public-private dialogue/collective action efforts that are being implemented around the world.</p> <ul style="list-style-type: none"> • Session Moderator: Birgit Forstnig-Errath, Director Collective Action, Siemens AG • Odell Guyton, Director of Compliance, Microsoft Corporation • Sabine Zindera, Vice President, Corporate Legal and Compliance, Siemens AG • Ayotola Jagun, Chief Compliance Officer & Company Secretary, Oando plc. (tbd) • Shabnam Siddiqi, Director, Collective Action, Global Compact Network India • Da Woon Chung, Policy Officer, UN Global Compact Office Interactive Discussion <ul style="list-style-type: none"> • What are the benefits of public-private partnerships? • How can public-private partnerships support and promote the UN Global Compact 10th Principle against corruption? • What mechanisms have successfully enhanced communication and transparency between public-private partners? • What challenges do companies face when they operate in countries with differing anti-corruption regulations? Whether such challenges

	<p>can be addressed through public-private dialogue?</p> <ul style="list-style-type: none"> • What is a business case for the public-private dialogue against corruption?
11:30 – 12:00	Coffee Break
12:00 – 13:00	<p>New Projects, Updates, and Partner Organisations</p> <ul style="list-style-type: none"> • G20/B20 Anti-Corruption Working Group: Dominique Lamoureux, Vice President, Ethics & Corporate Responsibility, Thales • OECD Anti-Corruption Work: Patrick Moulette, Head, OECD Anti-Corruption Division. • UNODC Upcoming Priorities: Julia Pilgrim/Maria Adomeit, Corruption and Economic Crime Branch, Division for Treaty Affairs, UNODC • Water & Corruption: Olajobi Makinwa, Head, Transparency and Anti-Corruption, UN Global Compact
13:00 – 13:15	10th Working Group Meeting in Rio (May 2012) & Information on Anti-Corruption Side Events
13:15 – 15:00	Lunch
15:00 – 20:00	<p>High-Level Forum on UN Convention and Global Competition-Joint Session (UNODC, UNGC, ICC, WEF-PACI, TI)</p> <p>(Room: Orangerie)</p>